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THE NATIONAL ASSEMBLY

12TH PARLIAMENT – SECOND SESSION - 2018

DEPARTMENTAL COMMITTEE ON FINANCE AND NATIONAL
PLANNING

REPORT ON THE VETTING OF NOMINEES FOR APPOINTMENT TO
THE POSITIONS OF PRINCIPAL SECRETARY PLANNING & PRINCIPAL
SECRETARY DEVOLUTION

1. Dr. Julius Muia - Principal Secretary Planning
2. Mr. Nelson Marwa –Principal Secretary for Devolution

DIRECTORATE OF COMMITTEE SERVICES,
CLERK'S CHAMBERS,
PARLIAMENT BUILDINGS,
NAIROBI

FEBRUARY, 2018

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5.0 BACKGROUND INFORMATION

5.1 Legal framework

1. Article 155 of the Constitution provides as follows—

155. (1) There is established the office of Principal Secretary, which is an office in the public service.

(2) Each State department shall be under the administration of a Principal Secretary.

(3) The President shall—

(a) nominate a person for appointment as Principal Secretary from among persons recommended by the Public Service Commission; and

(b) with the approval of the National Assembly, appoint Principal Secretaries.

(4) The President may re-assign a Principal Secretary.

(5) A Principal Secretary may resign from office by giving notice, in writing, to the President.

2. In light of this, H.E. the President has nominated the following persons for appointment as Principal Secretaries for Devolution and Planning;
 - i) Dr. Julius Monzi Muia - Nominee for Principal Secretary, Planning
 - ii) Mr. Nelson Marwa Sospeter - Nominee for Principal Secretary, Devolution

The Departmental Committee on Finance and National Planning has been assigned the role of conducting the vetting of the aforesaid nominees.

The following statutes/provisions will be paramount to the Committee as it executes this mandate:

4.0 INTRODUCTION

4.1 Message from H. E. the President

Pursuant to Standing Order 42 (1), the Speaker on **Tuesday 13th February, 2018** communicated to the House a message from H.E. the President regarding the nominees to the positions of Principal Secretary Planning and Principal Secretary Devolution.

The Speaker further informed the House that H.E. the President was seeking the approval of the National Assembly on the said nominations before appointment.

In accordance with Standing Order 42(3) (c) the Speaker having read the Message from the President referred the names of the nominees together with their curriculum vitae to the Departmental Committee on Finance and National Planning to conduct approval hearings. The Speaker advised the Committee to conduct extensive public participation as provided for under Article 118 of the Constitution as well as the Public Appointment Parliamentary Approval Act (No.33 of 2011) and report to the House within 14 days.

4.2 Nomination and appointment process

Article 155 (3) of the Constitution provides for the process through which the position is recruited. The Constitution provides that the recruitment of officers to public office should be conducted in a competitive, transparent and fair process before nomination for appointment by H.E. the President with the approval of the National Assembly.

The National Assembly having received the names of the nominees is obligated to conduct approval hearings and make a determination of the nominees' suitability or otherwise within fourteen days as provided for in section 8 (1) of the Public Appointments (Parliamentary Approval Act) (No.33 of 2011).

4.3 Committee proceedings

During the approval hearings, the Committee held a total of three Sittings to examine and review the nominees' certificates, testimonial, consider public views and hold vetting meeting with the nominees.

3.4 COMMITTEE SECRETARIAT

1. Ms. Leah W. Mwaura - **First Clerk Assistant/Lead Clerk**
2. Ms. Laureen Wesonga - **Third Clerk Assistant**
3. Mr. Josephat Motonu - **Fiscal Analyst I**
4. Ms. Lynette Otieno - **Legal Counsel II**
5. Mr. Chelang'a Maiyo - **Research & Policy Analyst III**
6. Mr. Collins Mahamba - **Audio Officer**

3.5 COMMITTEE RECOMMENDATIONS

The Committee having held approval hearings of the nominees regarding their suitability, observed that due process was followed on the recruitment process as provided for under the Article 155(3) (b) of the Constitution, the Public Appointments (Parliamentary Approval Act) (No.33 of 2011) and the evidence presented to the Committee and therefore found Dr. Julius Monzi Muia and Mr. Nelson Marwa Sospeter suitable and qualified to serve in the positions of Principal Secretary Planning, the National Treasury and Principal Secretary Devolution, Ministry of Devolution and ASAL respectively.

In executing its mandate, the Committee oversees the following government Ministries, namely:

- i. The National Treasury and Ministry of Planning and its SAGAs
- ii. Ministry of Devolution and ASAL and its SAGAs
- iii. Salaries and Remuneration Commission
- iv. Commission on Revenue Allocation
- v. Office of the Controller of Budget

3.1 COMMITTEE MEMBERSHIP

The Committee on Finance and National Planning was constituted by the House in December, 2017 and comprises of the following Members:-

1. The Hon. Joseph K. Limo, MP – Chairman
2. The Hon. Isaac W. Ndirangu – Vice-Chairman
3. The Hon. Jimmy O. Angwenyi, MP
4. The Hon. Alfred W. Sambu, MP
5. The Hon. Enoch Kibunguchy, MP
6. The Hon. Shakeel Shabbir, MP
7. The Hon. Abdul Rahim Dawood, MP
8. The Hon. Daniel E. Nanok, MP
9. The Hon. Andrew A. Okuome, MP
10. The Hon. David M. Mboni, MP
11. The Hon. Kuria Kimani, MP
12. The Hon. Joseph M. Oyula, MP
13. The Hon. Joshua Kandie, MP
14. The Hon. Lydia H. Mizighi, MP
15. The Hon. Mohamed Ali, MP
16. The Hon. Purity Ngirici, MP
17. The Hon. Samuel Atandi, MP
18. The Hon. Stanley M. Muthama, MP

3.0 MANDATE OF THE COMMITTEE

The Departmental Committee on Finance & National Planning is one of the twelve Departmental Committees of the National Assembly established under *Standing Order 216* whose mandates pursuant to the *Standing Order 216 (5)* are as follows:

- a) To investigate, inquire into, and report on all matters relating to the mandate, management, activities, administration, operations and estimates of the assigned ministries and departments;
- b) To study the programme and policy objectives of Ministries and departments and the effectiveness of their implementation;
- c) To study and review all the legislation referred to it;
- d) To study, access and analyze the relative success of the Ministries and departments as measured by the results obtained as compared with their stated objectives;
- e) To investigate and inquire into all matters relating to the assigned Ministries and departments as they may deem necessary, and as may be referred to them by the House;
- f) **To vet and report on all appointments where the Constitution or any law requires the National Assembly to approve, except those under Standing Order No.204 (Committee on appointments);**
- (fa) To examine treaties, agreements and conventions;
- g) To make reports and recommendations to the House as often as possible, including recommendation of proposed legislation;
- h) To consider reports of Commissions and Independent Offices submitted to the House pursuant to the provisions of Article 254 of the Constitution; and
- i) To examine any questions raised by Members on a matter within its mandate.

In accordance with the Second Schedule of the Standing Orders, the Committee is mandated to consider, Public finance, Monetary policies, Public debt, Financial institutions (excluding those in securities exchange), Investment and divestiture policies, Pricing policies, Banking, Insurance, Population revenue policies including taxation and National planning and development.

ABBREVIATIONS

DCI - Directorate of Criminal Investigations

EACC – Ethics and Anti-Corruption Commission and

HELB - Higher Education Loans Board Ethics a

KRA Kenya Revenue Authority,

ORPP - Office of the Registrar of Political Parties

PAPAA - Public Appointments (Parliamentary Approval) Act

PS – Principal Secretary

ABBREVIATIONS

DCI - Directorate of Criminal Investigations

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KRA Kenya Revenue Authority,

ORPP - Office of the Registrar of Political Parties

PAPAA - Public Appointments (Parliamentary Approval) Act

PS – Principal Secretary

- (i) The Public Appointments (Parliamentary Approval) Act (No. 33 of 2011);
- (ii) Chapter 6 of the Constitution on leadership and integrity;
- (iii) Article 232 of the Constitution on values and principles of public service;
- (iv) Article 10 of the Constitution on the national values and principles of governance;
- (v) The Leadership and Integrity Act (Cap. 182);
- (vi) The Public Service (Values and Principles) Act (No. 1A of 2015)
- (vii) The Public Officer Ethics Act (Cap. 183)

The Public Appointments (Parliamentary Approval) Act (No. 33 of 2011)

Section 7 of the Public Appointments (Parliamentary Approval) Act, Chapter 136 (PAPAA), provides for issues which the relevant House of Parliament (in this case, the National Assembly) is supposed to consider in relation to any nomination. These issues include:

- a) the procedure used to arrive at the nominee;*
- b) any constitutional or statutory requirements relating to the office in question; and*
- c) the suitability of the nominee for the appointment proposed having regard to whether the nominee's abilities, experience and qualities meet the needs of the body to which nomination is being made.*

Although the constitution does not specifically provide for the qualifications for appointment (or nomination) of a Principal Secretary, the schedule to the Public Appointments (Parliamentary Approval) Act provides for the criteria to guide the Committee. These are:

- Education, employment record;
- Public office, political activities and affiliations;
- Deferred income or future benefits;
- Sources of income, tax status, statement of net worth;
- Potential conflicts of interest;

- Pro bono or charity work;
- Whether the nominee has been charged in a court of law or convicted;
- Adverse mention in an investigatory report of a Parliamentary Committee or Commission.

During the approval hearing, section 6 of the Public Appointments (Parliamentary Approval) Act (PAPAA) provides important points, viz:

- ❖ An approval hearing shall focus on a candidate's academic credentials, professional training and experience, personal integrity and background (section 6(7), PAPAA)
- ❖ The criteria specified in the Schedule shall be used by a Committee during an approval hearing for the purposes of vetting a candidate (section 6(8), PAPAA)
- ❖ Any person may, prior to the approval hearing, and by written statement on oath, provide the Clerk with evidence contesting the suitability of a candidate to hold the office to which the candidate has been nominated (section 6(9), PAPAA)
- ❖ A candidate may, at any time, by notice in writing addressed to the Clerk, withdraw from the approval process and the candidate's nomination shall end (section 6(10), PAPAA).

Chapter 6 of the Constitution (Leadership and Integrity)

There are important provisions in Chapter 6 of the Constitution worth pointing out. Article 73 of the Constitution provides that the authority assigned to a State officer (a Principal Secretary being a state officer under Article 260 of the Constitution) is a public trust to be exercised in accordance to the Constitution, demonstrate respect for the people, bring honour to the nation and dignity to the office and promote public confidence in the integrity of the office. Also, the State officer shall have the responsibility to serve the people, rather than the power to rule them.

The guiding principles of leadership and integrity are listed as selection based on personal integrity, competence and suitability, objectivity and impartiality in decision making, selfless service based solely on the public interest, accountability and discipline and commitment in service to the people.

The Leadership and Integrity Act

The Leadership and Integrity Act provides a number of obligations to a State officer. These are, among others: to respect and abide by the Constitution and the law, public trust, performance of duties in an honest and accountable manner, professionalism, financial integrity, not to engage in activities that amount to abuse of office, not misuse public resources, accepting gifts that may compromise the officer's duties, wrongful or unlawful acquisition of property, conflict of interest e.t.c.

Article 232 of the Constitution on Values and Principles of Public Service

Article 232 of the Constitution provides for the values and principles of public service which include high standards of professional ethics; efficient, effective and economic use of resources; responsive, prompt, effective, impartial and equitable provision of services; involvement of the people in the process of policy making; accountability for administrative acts; transparency and provision to the public of timely, accurate information; fair competition and merit as the basis of appointments and promotions; representation of Kenya's diverse communities; and affording adequate and equal opportunities for appointment, training and advancement, at all levels of the public service of men and women; the members of all ethnic groups; and persons with disabilities.

Article 10(2) of the Constitution on national values and principles of governance

Article 10(2) of the Constitution provides for the national values and principles of governance which include patriotism, national unity, sharing and devolution of power, the rule of law, democracy and participation of the people; human dignity,

equity, social justice, inclusiveness, equality, human rights, non-discrimination and protection of the marginalised; good governance, integrity, transparency and accountability; and sustainable development.

The Public Service (Values and Principles) Act

Section 5 of the Public Service (Values and Principles) Act provides that a public officer shall maintain high standards of professional ethics, including, being honest; displaying high standards of integrity, transparency, accountability, respect to others, objectivity, patriotism, observance of rule of law. (Applies in future upon appointment)

The Public Officer Ethics Act

The Public Officer Ethics Act provides for obligations to be carried out by a public officer. These include professionalism, carrying out duties in accordance with the law, prohibition from unjust enrichment, avoiding conflict of interest, not using office as a venue for soliciting or collecting harambees; not acting for foreigners; care of property; political neutrality, not practicing nepotism or favouritism, giving impartial advice, conducting private affairs in a way that maintains public confidence, bar from sexual harassment, submission of declaration of income, assets and liabilities once every two years (section 26). (Applies in future after appointment)

5.2 Qualifications for appointment of Principal Secretaries

In conducting the Approval hearing, the Committee was guided by Article 155 (3) (b) of the Constitution, and the provisions of Section 7 of the Public Appointments (Parliamentary Approval) Act No. 33 of 2011.

Article 73 of the Constitution provides that: (2) The guiding principles of leadership and integrity include— (a) *selection on the basis of personal integrity, competence and suitability, or election in free and fair elections; (b) objectivity and impartiality in*

decision making, and in ensuring that decisions are not influenced by nepotism, favouritism, other improper motives or corrupt practices; (c) selfless service based solely on the public interest, demonstrated by— (i) honesty in the execution of public duties; and, (ii) the declaration of any personal interest that may conflict with public duties; (d) accountability to the public for decisions and for decisions and actions; and (e) discipline and commitment in service to the people.

5.3 Message from H.E the President

H. E the President on **Monday, 29th January, 2018** communicated the names of the nominees for the positions of Principal Secretary Planning and Principal Secretary Devolution to the Speaker for approval by the National Assembly pursuant to Article 115 (3) (b). The Speaker in his communication from the Chair issued on **Tuesday, 13th February, 2018** and pursuant to Standing Order No. 42 referred the names to the Departmental Committee on Finance and National Planning to conduct approval hearings and report within 14 days.

5.4 Notification to the nominees and 6 Clearance requirements

The Clerk of the National Assembly vide letters **ref. NA/DC/FNP/2018 dated 14th February, 2018** wrote to the nominees informing them of their nomination by H.E The President and requested them to appear for vetting by the Departmental Committee on Finance and National Planning on **Wednesday, 21st February, 2018** in Committee Room 7, Main Parliament Buildings at 9:00 am and 10.30 am respectively. The Clerk further requested the nominees to bring with them clearance certificates from the Kenya Revenue Authority (KRA), the Higher Education Loans Board (HELB), Ethics and Anti-Corruption Commission (EACC), Office of the Registrar of Political Parties and Directorate of Criminal Investigations.

The Clerk also wrote to the Kenya Revenue Authority (KRA), the Higher Education Loans Board (HELB), Ethics and Anti - Corruption Commission (EACC) and Directorate of Criminal Investigations requesting for tax, loans, integrity and criminal record status of the nominees. The institutions wrote back to the National Assembly as follows;

1. The Kenya Revenue Authority (KRA) (letter Ref: KNA2/4/2018 (47)), the Directorate of Criminal Investigations (DCI) (letter Ref; DCI/CRO/SEC/6/7/2/A/VOL.VI/12), the Ethics and Anti-Corruption Commission (EACC) (letter Ref: EACC.7/10/5 VOL.V (102)) and the Higher Education Loans Board (HELB) (letter Ref: HELB/RR/112009/V/64) cleared both candidates.
2. The Office of the Registrar of Political Parties (ORPP) (letter Ref; RPP/ORG/34 Vol.II(13)) confirmed that both candidates were not members of a registered political party.

5.5 Request for Memoranda and Notification to the public

Section 6(9) of the Public Appointments (Parliamentary Approval) Act No. 33 of 2011, provides that *“any person may, prior to the approval hearing, and by written statement on oath, provide the Clerk with evidence contesting the suitability of a candidate to hold the office to which the candidate has been nominated”*.

The Clerk of the National Assembly on **Wednesday, 14th February, 2018** placed a notification in the print media informing the general public of the intention of the Committee to conduct approval hearings, as provided for by Article 118 (1) (a) (b) and (2) of the Constitution and Section 6(4) of the Public Appointments (Parliamentary Approval) Act, (No.33 of 2011), which allows public participation in committee proceedings. The notification gave provision for the submission of memoranda, on the suitability or otherwise of the nominee, by **Tuesday, 20th February, 2018, at 5.00 pm.**

5.6 Submission of memoranda

At the close of business **Tuesday, 20th February, 2018**, the Office of the Clerk, had not received an affidavit contesting the suitability of the two nominees.

6.0 APPROVAL HEARINGS

The Committee conducted approval hearings for the nominees to the positions of Principal Secretary Planning and Principal Secretary Devolution on **Wednesday, 21st February, 2018**. In conducting the vetting exercise, the Committee was guided by, among other documents, the Constitution, the Public Appointments (Parliamentary Approval) Act (No. 33 of 2011) and the Standing Orders.

The Committee examined the nominees using the guidelines provided for in the Public Appointments (Parliamentary Approval) Act No. 33 of 2011. The Committee also considered the following in vetting the nominees:

- a. Academic qualifications
- b. Employment record and work experience
- c. Professional association
- d. Public office, political activities and affiliations
- e. Potential conflict of interest
- f. Suitability to the position
- g. Tax compliance
- h. Vision and leadership
- i. Integrity
- j. Expectations and Key priorities

6.1 DR. JULIUS MONZI MUIA

Dr. Julius Muia had been nominated for the position of Principal Secretary in the State Department of Planning. He appeared before the Committee for vetting on **Wednesday, 21st February, 2018**. He was orally interviewed by the Committee and responded to questions during the vetting as follows:-

6.1.1 Personal Background

Dr. Julius Muia is a Kenyan citizen of identity card number 4827415 and was born in Makueni County in 1959.

6.1.2 Educational Background

Dr. Muia hold a PhD in Finance (2012 and a Master of Business Administration (1984) He attained his Bachelor of Commerce in Accounting in 1981 at the University of Nairobi.

6.1.3 Professional Experience & Affiliations

In terms of his professional experience and affiliations, Dr. Muia has undertaken the several professional courses including Certified Public Accountant – CPA (K) (1982), Certified Public Secretary – CPS (K) (1990), Associate Kenya Institute of Bankers – AKIB (2003) and Associate Chartered Institute of Arbitrators – ACI Arb (2012)

6.1.4 Work experience/ career progression

Dr. Muia has a wealth of experience both in Public Service and private sector. Since November 2016 to date he has been the Director General for the Vision 2030 Delivery Secretariat. In addition to this, between 2008 and 2016 he was the Secretary, National Economic and Social Council (NESC). Dr. Muia worked as the Finance and Administration Director/Chief Operations Officer for the Housing Finance Company of Kenya between the years 2001 and 2008. Apart from having experience in the Public sector, he has also worked for private companies including Unga Group Limited, Kenya (Group Finance Director and Group Company Secretary- 1995 to 2001), Safari Parks Holdings Limited, Kenya (Finance Manager/Finance Director - 1992 to 1995), Lonrho Hotels Group, Kenya (Group Financial Controller -1992 to 1995), Price Water House Kenya and Manchester, UK (Audit Trainee/Supervisor/Manager -1984 to 1990), University of Nairobi (Graduate Assistant -1983 to 1984).

6.1.5 Awards, Distinctions and Others

Dr. Julius Monzi Muia has received commendations to which he has received several awards including :-

7. 1979; "*Asian Community Academic Award*": Prize for best student in BCom Class first year in the University of Nairobi
8. 1982: "*DS Trivedi*" Prize for best student in CPA (K) final exams
9. 2012: EBS award: Elder of Burning Spear, Head of State Award for Delivery of Public Service
10. Participated in several Task Forces and Steering Committees on public policy
11. Supervised many researches and consultancies in support of national development

11.1.1 Potential Conflict of interest

The nominee informed the Committee that he was a part time lecturer at Strathmore University. He stated that he will not continue his part time classes to avoid any potential conflict of interest and to also concentrate on his duties as a PS.

11.1.2 Clearance Requirements

The Committee confirmed that the nominee had been cleared by Statutory bodies including the KRA, HELB, DCI and EACC, having obtained clearance certificates to that effect.

11.1.3 Other Issues under consideration

To examine suitability or otherwise of the nominee, the Committee posed several questions in the following thematic areas: -

12. Personal plan, vision in attainment of Vision 2030 and contribution to the realization of the Big Four Agenda

He stated that his background and knowledge gained while formulating the vision 2030 at the Vision 2030 Delivery Board would enable him steer the State Department in implementation and delivery on Vision 2030 targets. Further as a technology expert he would incorporate ICT in the operations of the State Department to enhance service delivery. The nominee stated that through

Kenya Institute for Public Policy Research and Analysis (KIPPRA) which is an public institution under the Ministry charged with providing quality public policy advice to the Government of Kenya by conducting objective research and analysis, he would task the institute to carry out a research on key enablers to the Big Four Agenda and the linkages between the Big Four Agenda and the Vision 2030.

The nominee also stated that if appointed he would help to fast-track the validation, printing and launching of the MTP3 (2018-2022) and its final dissemination to the public, the MTP was key document in guiding national plans and also county plans.

13. Integrity and how he will handle issue of corruption

He stated that he was a practicing ethical leader and had received an award for ethical leadership. He noted that there was need to seal the social and legal gaps with regards to integrity and corruption issues facing the public sector. He indicated that he would employ two measures if appointed to the State Department, that is, strict implementation of laws regarding integrity and corruption and establishment of an award for persons with good ethical behavior within the State Department. He reiterated that he would inform relevant authorities for their necessary action on any corruption matters touching of officers within the State Department. He observed that there was need to incorporate national values in socialization of citizens at the earliest stages of growth (childhood) to ensure these values strongly embedded in their characters.

14. Utilization of financial and human resources at the State Department

He stated that he would apply his background in accounting and particularly internal controls to ensure there was prudent use of financial resources within the State Department. Further he stated that would he would leverage on networks with development partners to ensure capacity building of staff to enhance their knowledge in the mission and vision of the State Department to enhance service delivery.

He further informed the Committee that he would employ strong austerity measures if appointed and would ensure there was value for money in terms of deliverable outputs as opposed to

spending due to available budget lines.

15. Conflict of interest

He noted that the first step in dealing with conflict of interest is recognition of the potential conflict of interest, subsequently upon recognition one should make a declaration on the conflict of interest to be seen and known by the relevant authorities and ensure this conflict of interest is documented.

16. Public Participation and Monitoring Evaluation

The nominee informed the Committee that he would leverage on private sector finance to fund public participation exercise such as communication campaigns. He stated that while at the Vision 2030 Board there had been a series of communication campaigns through media such as vernacular radio stations and he would implement the same activities at the State Department.

He observed that dissemination of information on activities carried to the public was keep component of monitoring and evaluation as it gave an opportunity for public feedback on the same.

17. Harmonization of the County Integrated Plans and the Mid Term Plans

He stated that the CIDP is drawn from the Mid Term Plan which is harmonized by the State Department of Planning with an objective of achieving the “Big Four” and the Vision 2030. He further stated that the State Department of Devolution had launched spatial planning in 2017 from which all counties are supposed to draw their spatial plan. If given a chance to serve as the Principal Secretary for Planning, he will ensure that this is implemented to the later.

17.1.1 Oobservations – Dr. Julius Muia

The Committee having considered his curriculum vitae and heard his submission during the vetting exercise , made the following observations:-

18. He is a Kenyan citizen born in Makueni County in 1959

19. He holds a PhD in Finance (2012) and a Master of Business Administration (1984). He

attained his Bachelor of Commerce in Accounting in 1981 at the University of Nairobi.

20. He has a wealth of experience both in the planning sector especially having worked as the Secretary to the National Economic and Social Council and also being the Director General Vision 2030 Delivery Board. He was also involved in the formulation of the Vision 2030.
21. The nominee had acquired requisite statutory clearances from the KRA, HELB, EACC, DCI and ORPP.
22. He has never been charged in a court of law and has no potential conflict of interest.
23. He has never been dismissed from office on contravention of the provisions of Article 75 of the constitution that deals with conduct of state officer and has never been adversely mentioned in any investigatory report of Parliament or any Commission of Inquiry.

The Committee noted that the nominee exhibited capacity to serve as PS in the Ministry of Planning and had impressive knowledge on topical issues touching on planning sector that will be invaluable while discharging his duties as the Accounting Officer in the Ministry of Planning.

23.1 MR. NELSON MARWA SOSPETER

Mr. Nelson Marwa Sospeter had been nominated for the position of Principal Secretary in the Ministry of Devolution and ASAL. He appeared before the Committee for vetting on **Wednesday, 21st February, 2018**. He was orally interviewed by the Committee and responded to questions during the vetting as follows: -

23.1.1 Personal Background

Mr. Marwa is a Kenyan citizen of identity card number 3980474 and was born in Migori County in 1960.

23.1.2 Educational Background

Mr. Nelson Marwa holds a Masters of Public Administration from the South Gujarat University, India and a Bachelor of Arts (Economics/Sociology/Public Administration) Degree from the Mohanlal Sukhadia University, India. He is currently pursuing his Masters of Arts in Governance

and Ethics at Mt. Kenya University.

23.1.3 Professional Experience & Affiliations

Mr. Nelson Marwa has undertaken several professional courses including :-

24. Terrorism and Security Training for Huduma Centres - April 2017
25. Performance Management Training held at Mombasa Beach Hotel Mombasa - November 2010
26. Induction Course for Provincial Commissioners and Deputy Provincial Commissioners at Neptune Beach Resort - August 2009
27. Training Programme on Management of Counter Terrorism and Large-Scale-Sudden-Disaster (LSSD) course held at Galilee College (International Centre for National Security Studies (ICNSS), Israel) - October to November 2008
28. Maritime Port and Harbor Security Management Course in Virginia, USA - April – May, 2007
29. Workshop on Drug and Substance Abuse Demand and Supply Reduction in North Eastern Province organized by NACADA at Normal Palace, Garissa - February 2005,
30. Senior Management Seminar held at Kenya Institute of Administration (KIA) - November – December, 2004
31. Basic course in Conflict Resolution facilitated by the Centre for Conflict Resolution held in Kapsabet - September 2003
32. , Advanced Public Administration (APA) Course held at Kenya Institute of Administration (KIA) - January. – June 2000
33. Paramilitary Training held at APTC Embakasi, Nairobi - November 1996
34. Administrative Officer's Induction Course held at Kenya Institute of Administration (KIA) - February. – March 1993.

34.1.1 Work Experience

Mr. Nelson Marwa risen through the ranks in the Public Administration having been employed as District Officer cadet in 1992 to his current position as the Regional County Commissioner for Mombasa, a position he has held since 2016. The nominee has also served as a County Commissioner in Mombasa for the period between 2012 and 2015.

34.1.2 Professional Affiliations

Throughout his career as a public administrator, the nominee has been an active members of several organizations including , but not limited to :-

35. Member of Kenya Association for Public Administration and Management - March 2013 to date
36. Member of the Kenya Red Cross Society-Life Member - Jan. 2013 to date
37. Member of Baraton University Research Fellows -2010 to date
38. 1998 to date, Member of Automobile Association of Kenya
39. Patron to the following schools

He has also been a patron in several public secondary schools across the country including :-

40. Kwibanche Girls' Secondary School
41. Matare Secondary School
42. Itongo Secondary School
43. Ntimaru Girls' Secondary School
44. Nyaroha Girls' Secondary School
45. Taragai Secondary School
46. Makararangwe Secondary School

46.1.1 Potential Conflict of interest

The nominee has no conflict of interest.

46.1.2 Clearance Requirements

The nominee has no integrity issues given that he was cleared by the Ethics and Anti-Corruption Commission.

46.1.3 Other Issues under consideration

47. Absorption of the NYS graduates into the job market

Mr. Marwa stated that the government was coming up with a way of securing gainful employment for National Youth Service graduates in order to prevent them from joining militias and other organized groups. If appointed to the position of Principal Secretary Devolution, he would ensure that the plan was actualized.

48. Public Finance Management

Mr. Marwa stated that since the establishment of County Governments, huge sums of fund both local and international had been channeled to counties but most of it was not been utilized prudently. If appointed Principal Secretary, he would ensure that all financial rules and procedures are adhered to as stipulated in the Constitution with a view of ensuring accountability and service delivery by the counties.

49. Devolution policy

If given a chance to serve as the PS, Devolution and ASAL, Mr. Marwa stated that he would fast track the policy to ensure that there was no conflict of roles between the National Government and County Governments.

50. Transfer of functions

Some functions, which were supposed to be devolved, were yet to be transferred to the Counties. Mr. Marwa stated that he would ensure that the same was fast tracked and that all property belonging to the Government was reclaimed and safeguarded.

51. Monitoring and Evaluation

The nominee stated that if appointed to the PS Ministry of Devolution and ASAL, he will ensure that he focuses on mainstreaming of audit in all projects that are under the Ministry to ensure that they are devoid of wastages. He further stated that he will ensure that monitoring and evaluation is a critical component in conceptualisation of projects.

52. Attainment of the Vision 2030 and the Big Four Policy Agenda

The nominee stated that the 'Big Four' is anchored in the Vision 2030 and as such counties will play a critical role in the realisation of the Vision 2030. He observed that most of the agenda items in the Big Four are functions of the County Governments and as such the Ministry of Devolution will be the fulcrum through which the agenda of the Vision 2030 and the 'Big four' will be realised.

53. Disaster Management

The nominee stated that while working as the County Commissioner he crafted the Standard Operating Procedures (SOPs) for Security at the Kenya Ports Authority and therefore with his wealth of experience he will come up with SOPs for disaster management so as to ensure that any natural disaster is handled in a preventive manner.

53.1.1 Observations – Mr. Nelson Sospeter Marwa

54. He is a Kenyan citizen born in Migori County in 1960
55. He holds a Masters of Public Administration from the South Gujarat University, India and a Bachelor of Arts (Economics/Sociology/Public Administration) Degree from the Mohanlal Sukhadia University, India. He is currently pursuing his Masters of Arts in Governance and Ethics at Mt. Kenya University.
56. He has a wealth of experience in the Public Administration where he has worked in most parts of the country.
57. The nominee had acquired requisite statutory clearances including the KRA, HELB, EACC, DCI and ORPP.
58. He has never been charged in a court of law and has no potential conflict of interest.
59. He has never been dismissed from office on contravention of the provisions of Article 75 of the constitution that deals with conduct of state officer and has never been adversely mentioned in any investigatory report of Parliament or any Commission of Inquiry.

The Committee noted that the nominee exhibited capacity to serve as PS in the Ministry of Devolution and ASAL and had impressive knowledge on topical issues touching on Devolution that will be invaluable while discharging his duties as the Accounting Officer.

7.0 OBSERVATIONS AND FINDINGS

The Committee having considered the nominees' curriculum vitae and heard oral submissions during the vetting exercise made the following observations and findings. That the candidates, Dr. Julius Monzi Muia and Mr. Nelson Marwa;

- i. had satisfied the requirement of chapter six of the Constitution of Kenya on Leadership and Integrity.
- ii. had been cleared by the Criminal Investigation Department (CID), Kenya Revenue Authority on Tax Compliance, Higher Educations Loans Board (HELB), Ethics and Anti Corruption Commission and the Office of the Registrar of Political Parties (ORPP).
- iii. have never been charged with a criminal offence in a court of Law and have no conflict of interest.
- iv. have never been dismissed from office for contravention of the provisions of article 75 of the constitution which deals with conduct of State Officers that are adversely mentioned in any investigatory report of Parliament or any commission of inquiry.
- v. have the necessary academic qualifications and experience as seen from the documents presented to the Committee.
- vi. exhibited the right attitude to work in the position for which they have been nominated as observed from the way they responded to the Members' questions during vetting.

8.0 RECOMMENDATION

At the end of the vetting process, the Committee made the following recommendation: -

Having considered the suitability, capacity and integrity of the nominees, and pursuant to Article 155(3) of the Constitution and Section 8 (2) of the Schedule to the Public

Appointments (Parliamentary Approval) Act No. 33 of 2011, the Committee recommends that the National Assembly approves the nomination and subsequent appointment of;

1. Dr. Julius Monzi Muia to the position of Principal Secretary State Department for Planning, the National Treasury and Planning
2. Mr. Nelson Marwa Sospeter Principal Secretary State Department for Devolution, Ministry of Devolution and ASAL.

**MINUTES OF THE 8TH SITTING OF THE DEPARTMENTAL COMMITTEE ON
FINANCE AND NATIONAL PLANNING HELD ON TUESDAY, 27TH FEBRUARY, 2018
IN THE NEW MEMBERS' LOUNGE, MAIN PARLIAMENT BUILDINGS AT 9.00 AM**

PRESENT

1. Hon. Joseph K. Limo, MP - **Chairperson**
2. Hon. Jimmy O. Angwenyi, MP
3. Hon. Enoch Kibunguchy, MP
4. Hon. Shakeel Shabbir Ahmed, MP
5. Hon. Daniel E. Nanok, MP
6. Hon. David M. Mboni, MP
7. Hon. Joshua C. Kandie, MP
8. Hon. Joseph M. Oyula, MP
9. Hon. Lydia H. Mizighi, MP
10. Hon. Mohamed A. Mohamed, MP
11. Hon. Purity W. Ngirici, MP
12. Hon. Samuel Atandi, MP

ABSENT WITH APOLOGY

1. Hon. Isaac W. Ndirangu, MP- **Vice Chairperson**
2. Hon. Alfred Sambu, MP
3. Hon. Abdul Rahim Dawood, MP
4. Hon. Francis K. Kimani, MP
5. Hon. Andrew A. Okuome, MP
6. Hon. Stanley M. Muthama, MP

INATTENDANCE

SECRETARIAT

- | | | |
|------------------------|---|-----------------------------------|
| 1. Ms. Leah Mwaura | – | First Clerk Assistant/ Lead Clerk |
| 2. Ms. Laureen Wesonga | – | Third Clerk Assistant |
| 3. Ms. Lynette Otieno | – | Legal Counsel II |
| 4. Mr. John Njoro | – | Sergeant-At-Arms |
| 5. Mr. Collins Mahamba | – | Audio Officer |

Agenda

1. Prayers
2. Preliminaries/Introductions
3. Communication from the Chair
4. Bills

5. Petitions
6. Papers
7. Personal statement (**Members Only**)
8. Confirmation of Minutes
9. Matters Arising
10. i) **Consideration and adoption of the report on vetting of nominees for the position of Principal Secretary Planning and Principal Secretary Devolution**
ii) **Consideration of the Statute Law (Miscellaneous Amendments) (No. 3) Bill, 2017**
11. Adjournment

MIN.NO.039/DC-F/2018: PRELIMINARY/COMMUNICATION FROM THE CHAIRPERSON

The meeting was called to order at 9:25 a.m with prayer from Chairperson. He then invited the meeting to deliberate on the day's agenda.

MIN.NO.040/DC-F/2018: CONFIRMATION OF MINUTES

Minutes of the 7th sitting were adopted as true records of the sittings. They were then proposed and seconded by Hon. Jimmy Angwenyi, MP and Hon. Joshua Kandie respectively.

MIN.NO.041/DC-F/2018: MATTERS ARISING

There were no matters arising from the confirmed minutes.

MIN.NO.042/DC-F/2018: CONSIDERATION AND ADOPTION OF THE REPORT VETTING OF NOMINEES FOR THE POSITION OF PRINCIPAL SECRETARY PLANNING AND PRINCIPAL SECRETARY DEVOLUTION

The meeting discussed and agreed on the following observations and recommendations;

Observations

1. Dr. Julius Muia

The Committee having considered his curriculum vitae and heard his submission during the vetting exercise, made the following observations: -

- (i) He is a Kenyan citizen born in Makueni County in 1959
- (ii) He holds a PhD in Finance (2012) and a Master of Business Administration (1984). He attained his Bachelor of Commerce in Accounting in 1981 at the University of Nairobi.
- (iii) He has a wealth of experience in the planning sector especially having worked as the Secretary to the National Economic and Social Council and also being the Director General Vision 2030 Delivery Board. He was also involved in the formulation of the

Vision 2030.

- (iv) The nominee had acquired requisite statutory clearances from the KRA, HELB, EACC, DCI and ORPP.
- (v) He has never been charged in a court of law and has no potential conflict of interest.
- (vi) He has never been dismissed from office on contravention of the provisions of Article 75 of the constitution that deals with conduct of state officer and has never been adversely mentioned in any investigatory report of Parliament or any Commission of Inquiry.

The Committee noted that the nominee exhibited capacity to serve as PS in the Ministry of Planning and had impressive knowledge on topical issues touching on planning sector that will be invaluable while discharging his duties as the Accounting Officer in the Ministry of Planning.

2. Mr. Nelson Sospeter Marwa

- (i) He is a Kenyan citizen born in Migori County in 1960
- (ii) He holds a Masters of Public Administration from the South Gujarat University, India and a Bachelor of Arts (Economics/Sociology/Public Administration) Degree from the Mohanlal Sukhadia University, India. He is currently pursuing his Masters of Arts in Governance and Ethics at Mt. Kenya University.
- (iii) He has a wealth of experience in the Public Administration where he has worked in most parts of the country.
- (iv) The nominee had acquired requisite statutory clearances from KRA, HELB, EACC, DCI and ORPP.
- (v) He has never been charged in a court of law and has no potential conflict of interest.
- (vi) He has never been dismissed from office on contravention of the provisions of Article 75 of the constitution that deals with conduct of state officer and has never been adversely mentioned in any investigatory report of Parliament or any Commission of Inquiry.

The Committee noted that the nominee exhibited capacity to serve as PS in the Ministry of Devolution and ASAL and had impressive knowledge on topical issues

touching on Devolution that will be invaluable while discharging his duties as the Accounting Officer.

GENERAL OBSERVATIONS AND FINDINGS

The Committee having considered the nominees' curriculum vitae and heard oral submissions during the vetting exercise made the following observations and findings. That:-

- 1. Dr. Julius Monzi Muia - nominee for the position of Principal Secretary Planning, the National Treasury and**
 - 2. Mr. Nelson Marwa Sospeter - nominee for the Principal Secretary for Devolution, Ministry of Devolution and ASAL.**
- i. had satisfied the requirement of chapter six of the Constitution of Kenya on Leadership and Integrity.
 - ii. had been cleared by the Criminal Investigation Department (CID), Kenya Revenue Authority on Tax Compliance, Higher Educations Loans Board (HELB), Ethics and Anti Corruption Commission and the Office of the Registrar of Political Parties (ORPP).
 - iii. have never been charged with a criminal offence in a court of Law and have no conflict of interest.
 - iv. have never been dismissed from office for contravention of the provisions of article 75 of the constitution which deals with conduct of State Officers that are adversely mentioned in any investigatory report of Parliament or any commission of inquiry.
 - v. have the necessary academic qualifications and experience as seen from the documents presented to the Committee.
 - vi. exhibited the right attitude to work in the position for which they have been nominated as observed from the way they responded to the Members' questions during vetting.

RECOMMENDATION

The Committee made the following recommendation: -

Having considered the suitability, capacity and integrity of the nominees, and pursuant to Article 155(3) of the Constitution and Section 8 (2) of the Schedule to the Public Appointments (Parliamentary Approval) Act No. 33 of 2011, the Committee recommends that the National Assembly approves the nomination and subsequent appointment of;

1. Dr. Julius Monzi Muia - nominee for the position of Principal Secretary Planning, the National Treasury and
2. Mr. Nelson Marwa Sospeter - nominee for the Principal Secretary for Devolution, Ministry of Devolution and ASAL.

MIN.NO.043/DC-F/2018: ADJOURNMENT

There being no other business to deliberate on, the meeting was adjourned at 01.20 pm. The next meeting will be held on Tuesday 1st March, 2018 at 09.00 a.m

HON. JOSEPH K. LIMO, MP

(CHAIRPERSON)

SIGNED



DATE.....

27/2/2018

REPUBLIC OF KENYA



NATIONAL ASSEMBLY

DEPARTMENTAL COMMITTEE ON FINANCE & NATIONAL PLANNING
ADOPTION SHEET OF THE REPORT ON VETTING OF NOMINEES TO THE POSITIONS OF
PRINCIPLE SECRETARY PLANNING AND PRINCIPAL SECRETARY DEVOLUTION

DATE: 27TH FEBRUARY, 2018

NAME	SIGNATURE
1. HON. JOSEPH K. LIMO, MP – CHAIRMAN	
2. HON. ISAAC W. NDIRANGU – VICE-CHAIRMAN	
3. HON. JIMMY O. ANGWENYI, MP	
4. HON. ALFRED W. SAMBU, MP	
5. HON. ENOCH KIBUNGUCHY, MP	
6. HON. SHAKEEL SHABBIR AHMED, MP	
7. HON. ABDUL RAHIM DAWOOD, MP	
8. HON. DANIEL E. NANOK, MP	
9. HON. ANDREW A. OKUOME, MP	
10. HON. DAVID M. MBONI, MP	
11. HON. KURIA KIMANI, MP	
12. HON. JOSEPH M. OYULA, MP	
13. HON. JOSHUA KANDIE, MP	
14. HON. LYDIA H. MIZIGHI, MP	
15. HON. MOHAMED ALI, MP	
16. HON. PURITY NGIRICI, MP	
17. HON. SAMUEL ATANDI, MP	
18. HON. STANLEY M. MUTHAMA, MP	

No. 33 of 2011 [Rev. 2012]
Public Appointments (Parliamentary Approval)
[Issue 1] 8

SCHEDULE
[Section 6(8).]

**CRITERIA FOR VETTING/APPROVAL OF NOMINEES FOR
APPOINTMENT TO PUBLIC OFFICE BY PARLIAMENT QUESTIONNAIRE**

Notes:

- a) This questionnaire applies to appointments to public office arising by or under the Constitution or any other law where parliamentary approval is required.
- b) The questionnaire shall be used by the relevant parliamentary committee to vet a nominee appearing before the committee in the process of parliamentary approval.
- c) The questionnaire shall be filled and submitted by the nominee to the relevant parliamentary committee through the Clerk of the relevant House of Parliament on or before a date set by the committee.
- d) The submission of false information in the questionnaire is an offence and may result in prosecution.
- e) Any form of canvassing by a nominee shall lead to disqualification.
- f) The nominee must answer all the questions.

- 1. **Name:** Dr Julius Monzi Muia
- 2. **Position:** Principal Secretary, (Planning), The National Treasury and Planning
- 3. **Sex:** Male
- 4. **Date of Birth:** 1959, Makueni County
- 5. **Marital Status:** Married
- 6. **Daytime phone number:** 0722525694
- 7. **Mobile phone number:** 0722525694
- 8. **Email Address:** juliusmuia08@gmail com
- 9. **ID Number:** 4827415
- 10. **PIN Number:** A000230027T
- 11. **Nationality:** Kenyan

12. **Postal Address:** P.O BOX 39738 00623 NAIROBI.

13. **Town/City:** NAIROBI

14. **Knowledge of Languages:** English and Swahili

15. **Education:** (List, in reverse chronological order, each university, college, or any other institution of higher education attended and indicate, in respect of each, the dates of attendance, academic award obtained, whether a degree was awarded, and the dates on which each such degree was awarded).

University	Dates of attendance	Dates of Awarding Degree	Academic Award
University of Nairobi	2003 to 2012	2012	Doctor of Philosophy
University of Nairobi	1981 to 1983	1984	Master of Business and Administration
University of Nairobi	1978 to 1981	1981	Bachelor of Commerce (Accounting Option) First Class Honours

Employment Record: (List in reverse chronological order all government agencies, business or professional corporations, companies, firms or other enterprises with which you have been affiliated as an officer, director, partner, proprietor, employee or consultant)

Full Time Employee

Institution	Position held
Vision 2030 Delivery Board	Director General
National Economic and Social Council	Secretary
Housing Finance Company of Kenya	Finance and Administration Director
Unga Group Ltd	Group Finance Director/Group Company Secretary
Safari Park Holding Ltd	Finance Director
Lonrho Hotels Group	Financial Controller
PriceWaterHouse- Kenya and United Kingdom	Audit Assistant/Audit Manager
University of Nairobi	Graduate Assistant

Other Engagements

Institution	Type of Engagement
Strathmore University (Ongoing)	Adjunct Faculty-Teaching Micro Economics of Competitiveness
International Leadership Foundation (Ongoing)	Facilitator/Trainer on Christian-based Leadership
Kenya Accountants and Secretaries National Examination Board (KASNEB) (1990 to 1993)	Setting and Marking CPA Exams: Finance and Auditing Papers.
Dmer School of Management (1984 to 1986)	Teaching Accounting and Finance to CPA Students

16. **Honours and Awards:** (List any scholarships, fellowships, honorary degrees, academic or professional honours, honorary society memberships, military awards and any other special recognition for outstanding service or achievement and in respect of each, state the date of award and the institution or organization that made the award).

Year	Award/Recognition
2017	2017 National Transformation Leadership Award by AFReG (African Forum on Religion and Government) -In Appreciation of exemplary Leadership in promoting moral values and holistic development in Kenya
2017	2017 National Transforming Leadership Award by ILF (International Leadership Foundation) -In Appreciation of exemplary leadership in development and delivery of Kenya's Vision 2030
2016	Town Award of Excellence-2016 by Town and County Planners Association of Kenya for "Great contribution towards sustainable development planning, investments and Entrepreneurship.
2011	EBS: Elder of Burning Spear: Head of State Award for Delivery of Public Service.
1982	DS Trivedi: Prize for Top student in CPA(K) final exams.
1979	Asian Community Academic Award: Prize for Top student in Bachelor of Commerce Class first year in the University of Nairobi.

17. **Professional Association** (where applicable): (List all professional associations of which you are or have a member and give any positions held and the respective dates when each such position was held).

Association	Position	Title
Institute of Certified Public Accountants	Member From March 1985	CPA(K)
Institute of Certified Public Secretaries	Member From 1987	CPS(K)
Kenya Institute of Bankers	Associate From May 2003	AKIB
Chartered Institute of Arbitrators	Associate From March 2013	ACI Arb

18. **Memberships:** (List all professional, business, fraternal, scholarly, civic, charitable or other organizations, (other than those listed in response to Question 16) to which you belong or have belonged).

Organization	Position
International Leadership Foundation	Facilitator/Trainor and Coach
International Leadership Foundation	Board Member
KEPSA	Member of infrastructure board committee that was involved in preparing the Population, urbanization and housing sector of Social pillar of Vision 2030
Tutini-Muooni Water Resource Users Association (WRUA)	Founder and Patron of WRUA

19. **Published Writings:**

(a) List the titles, publishers and dates of books, articles, reports, letters to the editor, editorial pieces or other published materials you have authored or edited.

(i) *"The Accountant"* Journal, published by ICPA(K):

"The Behavioural Aspects of Budgeting-1983"

"The Nature and Disposal of Risk in Business-1984"

(ii) "Incentives Necessary to increase the Financing of Real Estate Projects" to Institute of Surveyors of Kenya seminar, 2007: Published by Land & Property Digest, Vol 5, Mar 2008, pp 6-11

(iii) "Government Facilitation of Private Sector Lending for Affordable Housing in Kenya" for a UN Habitat Workshop in Kampala, Uganda. November 2005.

(iv) Government of Kenya (2006): "Municipal Finance in Kenya" for the World Urban Forum 2006

(v) Government of Kenya: (2010): "24 Hour Economy-Anew Frontier in Kenya's Economic Development" A Project of the National Economic and Social Council.

(vi) "Frameworks for Cooperation among Think Tanks in Africa" International Conference on Think Tanks, Cairo, Egypt, November, 2010.

(vii) "Credit Guarantee Schemes: The Road to Expanding Business and Investment in Kenya" by The National Economic and Social Council (2014)

(b) Supply four (4) copies of any reports, memoranda or policy statements you prepared or contributed in the preparation of any bar association, committee, conference or organization of which you were a member.

-Population, Urbanisation and Housing Section of Vision 2030

-Policy on National Values and Principles of Governance

-Credit Guarantee Schemes Draft Policy

20. Public Office, Political Activities and Affiliations:

(a) List chronologically any public offices you have held or are currently holding, including the terms of service and whether such positions were elected or appointed.

Institution	Period	Title	Appointed	Term
Vision 2030 Delivery Board	From 1 November 2016	Director General	Yes	3 years contract
National Economic and Social Council	From April 2008	Secretary	Yes	Renewable 3 years contract
LAPSSET Corridor Development Authority	From 1 st November 2016	Board member	Ex-officio	Ex-officio
Vision 2030 Delivery Board	From 2009	Board member	Ex Officio	Ex-officio

(b) List all memberships and offices held in and services rendered, whether compensated or not, to any political party or election committee. If you have ever held a position or played a role in a political campaign, identify the particulars of the campaign, including the candidate, dates of the campaign, your title and responsibilities. Also include any linkage you have to a political party at present.

None

(c) Have you ever been dismissed or otherwise removed from office for a contravention of the provisions of Article 75 of the Constitution?

No

(d) Have you ever been adversely associated with practices that depict bias, favouritism or nepotism in the discharge of public duties?

No

21. **Deferred Income/Future Benefits:** (List the sources, amounts and dates of all anticipated receipts from deferred income arrangements, stock, options, uncompleted contracts and other future benefits which you expect to derive from previous business relationships, professional services, firm memberships, etc).

(*)

-Value of Pension Asset: Shs 15 Million

22. **Outside commitment during service in office:** (Do you have any plans, commitments or agreements to pursue outside employment with or without compensation during your service in office? If so explain).

(*)

✓ -Strathmore University -Evenings: Teaching Micro Economics of Competitiveness

-International Leadership Foundation-Trainer in Leadership (Kenya and abroad)

23. **Sources of Income:** (List sources and mounts of all income received during the calendar year preceding your nomination and in the current calendar year).

2017 Calendar Year

Sources	Amount KShs
Salary and Remuneration	16,440,000
Fees and Allowances	2,256,000
Rental Income	320,000

2018

Sources	Amount KShs
Salary and Remuneration	1,370,000
Fees and Allowances	Nil
Rental Income	48,000

24. **Tax Status:** (State whether you have fully complied with your tax obligations to the State up to the end of the financial year immediately preceding the nomination for appointment).

Yes, I have a clearance certificate

25. **Statement of Net Worth:** (State you financial net worth).

Shs 85 Million ?? - make up
- look

26. **Potential Conflicts of Interest:**

(a) Identify the family members or other persons, parties, categories obligation or financial arrangements that are likely to present potential conflicts-of-interest when you first assume the position to which you have been nominated. Explain how you would address any such conflict if it were to rise.

None

(b) Explain how you will resolve any potential conflict of interest, including the procedure you will follow in determining these areas of concern

-Proactively appreciate potential areas of conflict of interest in the liberal and wider sense;

-Identify sources and potential areas of conflict of interest;

- Deliberately and openly state where I am likely to have a conflict of interest
- Recuse myself from handling a transaction or participating in a discussion/ meeting where I could be potentially or actually conflicted.
- Ensure full documentation of the following: 1) type of potential conflict; 2) the disclosure of potential conflict made and 3) position taken

27. **Pro-Bono/Charity Work/Donations to charity:** (Describe what you have done by way of pro bono or charity work, listing specific instances, the amount contributed and the amount of time devoted to each).

-Parklands Baptist Church -Developing Church Strategic Plan, Policy and procedures; (time from 2012 Approx 75 hours)

-Board Member, International Leadership Foundation-Pay own expenses of travel and accommodation to attend Board meetings in Kenya and abroad. (Estimated expenses incurred to date Shs 300,000)

-Founder, Vice Chairman- Light-up East African Foundation-Buying solar lanterns and distributing at cost/donating to needy families off the grid. (Distributed over 1,500 lanterns at average cost of Shs 3,000)

28. Have you ever been charged in a court or law in the last three years? If so, specify the nature of the charge, where the matter is ongoing, the present status of the matter, or where the matter is concluded, the judgment of the court, or otherwise, how the case was concluded.

No

29. Have you ever been adversely mentioned in an investigatory report of Parliament or any other Commission of inquiry in the last three years?

No

30. Have you any objection to the making of enquiries with your present employer/referees in the course of consideration of your nomination?

No

31. **References:** (List three persons who are not your relatives who are familiar with your character, qualification and work).

Name	Dr Habil Olaka	Captain Gilbert Kibe	Dr Permaine Ole Narikae
Title	Chief Executive Officer Kenya Bankers Association	Director General Kenya Civil Aviation Authority.	Managing Director Kenya Industrial Estates
Qualification	PhD	Airline Pilot	PhD
Telephone	0722860655	0722514167	0722410256
Email address	holaka@gmail.com	gilbert.kibe@gmail.com	pnarikae@gmail

No. 33 of 2011 [Rev. 2012]

Public Appointments (Parliamentary Approval)

[Issue 1] 10

*Leah Muiua
For Consideration by
the Committee
18/12*

JULIUS MUIA, EBS, PhD, CPA(K), CPS(K), AKIB, ACI Arb
+254 (0)722525694 | juliusmuia08@gmail.com | P.O Box 39738-00623 Nairobi, Kenya

Education

PhD, Finance, (2012)
Master of Business Administration, (1984)
Bachelor of Commerce in Accounting (1981), First Class Honours
University of Nairobi, Kenya

Professional Qualifications

Certified Public Accountant –CPA(K) 1982
Certified Public Secretary –CPS(K) 1990
Associate Kenya Institute of Bankers –AKIB 2003
Associate Chartered Institute of Arbitrators –ACI Arb 2012

Career Success

Director General

VISION 2030 DELIVERY SECRETARIAT, REPUBLIC OF KENYA, NAIROBI, | NOV 2016-To NOW

Chief Executive Officer of a Semi-Autonomous Government Agency whose main mandate is spearheading and coordinating the implementation of Vision 2030 and its Medium Term Plans.

Delivered Results:

- Active engagement with the implementers of Vision 2030 flagship projects including aggressive communication to inform the public on the progress of Vision 2030.
- Designed and accomplished data gathering tools that provide evidence-based information for input into project execution and dissemination of the Vision.
- Provided key anchor in the preparation of Medium Term Plan III

Secretary

NATIONAL ECONOMIC AND SOCIAL COUNCIL (NESC), REPUBLIC OF KENYA, NAIROBI | 2008-To Oct 2016

Stewardship role of the top advisory government think tank on coordinated policy to support and compliment Vision 2030, the country's long term development plan;
Coordinating the formulation of strategic national policies in Kenya.
Receiving and evaluating representations by development partners and various interest groups for policy and regulatory changes;
Establishing and managing collaborations between NESC and non-governmental actors in the development space;
Active participation at international and national initiatives to promote formulation and implementation of appropriate policies in support of socio-economic development
Facilitator and speaker in thematic workshops, conferences and retreats;
Leading a high level team of 5 experts on policy matters and staff complement of 22 to deliver results

Delivered Results:

- Steered the think tank activities skilfully and;
- Championed and provided leadership in the formulation of various national policies to deepen the drivers of transformation identified in Vision 2030;
- Identified new drivers of national transformation for inclusion in 2013-2017 Medium Term Plan;

JULIUS MUIA: Page 2 +254 (0)722525694 juliusmuia08@gmail.com | P.O Box 39738, 00623 Nairobi, Kenya

- Initiated and secured the admission and active participation of NESC in the global body of International Association of Economic and Social Councils based in Paris and later, Brussels;
- Created many collaboration between NESC and development partners that adopted a public-public partnership approach to provide funding and technical support to the Council's work;

Finance and Administration Director/ Chief Operations Officer

HOUSING FINANCE COMPANY OF KENYA, NAIROBI, KENYA | 2001-2008

As Chief Operations Officer/Acting Managing Director 2005-2006-Responsible for overall

management of the listed and leading mortgage company out of a bad loan book into profits;

As Head of Finance, provided strategic and tactical leadership to ensure the bank had appropriate liquidity and optimised its treasury;

Member of a newly appointed executive team to change the institution into business focus;

Spearheaded liaison of the company with the government, KEPSA and Un-Habitat to lobby for policies and regulations in favour of the mortgage sector;

Advised and developed mortgage products in line with borrowers' life cycles;

Supervised and managed a rights issue to raise long term finance for the company

Delivered Results:

- Secured development of "housing-friendly" policy and regulatory framework through the Ministry of Housing;
- Raised equity capital for the company to address asset/liability mismatch
- Designed new mortgage products using data mining techniques on customer data
- Developed a mortgage delinquency model for better management of the loan book
- Achieved company compliance with all regulatory authorities (which was lacking before)

Group Finance Director and Group Company Secretary

UNGA GROUP LTD, NAIROBI, KENYA | 1995-2001

Oversaw the finance and company secretarial function of a group of 10 companies (two of them were listed in the Nairobi Securities Exchange)

Carried out feasibility studies for acquisition of companies in Uganda and Tanzania

Strategic planning to identify unprofitable subsidiaries and disposal of the same

Dealing with potential suitors for strategic investment and joint ventures

Management of group liquidity, treasury as well as long term debt and equity capital

Managed complex merger processes to rationalise the group.

Delivered Results:

- Planned and actively participated in rationalising and reorganising the group to refocus in the face of stiff competition from market entrants and increasing cost pressures in the industry
- Identified unprofitable and non-strategic business units and managed the sale of the same
- Organised licensing and commencement of tax efficient operations to support exports
- Anchored search for and negotiations with a strategic investor to provide capital and management to the group;
- Secured and started a joint venture businesses for smooth supply chain of critical inputs
- Raised equity capital through rights issue for investment in new plant

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00623 Nairobi, Kenya

Finance Manager/Finance Director

SAFARI PARKS HOLDING LTD, NAIROBI, KENYA | 1992-1995

Managed the finance and IT divisions of a group comprising a five star hotel, real estate and casino
Re-organised the financial information system by implementing a new group-wide IT software system.
Re-oriented the group towards results-based business management approach through investment-
centre and profit-centre reporting.

Carried out feasibility studies and negotiations to buy a business in Uganda.

Delivered Results:

- Led the overhaul of the financial management and information system
- Introduced a new culture where managers took charge of their unit's results.
- Achieved improved financial discipline by ensuring all staff had personal bank accounts.

Group Financial Controller

LONRHO HOTELS GROUP, NAIROBI, KENYA | 1990-1992

Managed the finance and IT divisions of a group that managed and owned several hotels in Kenya

Re-organised the accounting systems by implementing a uniform IT software system.

Created synergy and uniformity among the newly acquired units in the group

Carried out feasibility studies for investment in new hotels and expansion of existing units.

Delivered Results:

- Sorted out long outstanding unresolved customer debts
- Significantly improved group liquidity
- Achieved timely reporting to head quarters in line with group requirements
- Resolved intra-group financial and accounting issues
- Feasibility studies yielded successful investments.

Audit Trainee/Supervisor/Manager

PRICEWATERHOUSE, NAIROBI, KENYA & MANCHESTER, UK | 1984-1990

Trained as an auditor and financial consultant in diverse business sectors in Kenya and UK

Supervised and Managed audit teams to provide quality services to demanding clients

Performed and managed training for audit trainees in the firm

Specialised in Computer Based Auditing and offered same training to all staff.

Specialised in Feasibility Studies for the Tourism Sector

Delivered Results:

- Improved appreciation of ICT in Auditing and other operations of the firm
- Assisted clients to invest in new business units

Graduate Assistant

UNIVERSITY OF NAIROBI, NAIROBI, KENYA | 1983-1984

Lectured and tutored business undergraduates in management maths and Statistics

Delivered Results:

- Teaching undergraduates

JULIUS MUIA: Page 4 +254 (0)722525694 | juliusmuia08@gmail.com | P.O Box 39738,
00623 Nairobi, Kenya

AWARDS, DISTINCTIONS AND OTHERS

- 1979: "*Asian Community Academic Award*": Prize for best student in BCom Class first year in the University of Nairobi;
- 1982: "*DS Trivedi*" Prize for best student in CPA(K) final exams;
- 2012: EBS award: Elder of Burning Spear, Head of State Award for Delivery of Public Service;
- Participated in several Task Forces and Steering Committees on public policy;
- Supervised many researches and consultancies in support of national development.

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REPUBLIC OF KENYA

Telegraphic Address
'Bunge', Nairobi
Telephone 2848000
Fax: 2243694
E-mail: clerk@parliament.go.ke



National Assembly
Clerk's Chambers
Parliament Buildings
P. O. Box 41842 -00100
NAIROBI, Kenya

Ref.NA/DC/FNP/2018

14th February, 2018

Dr. Julius Muia
P.O. Box 39738-00623
NAIROBI
Tel. 0722525694
Email: juliusmuia08@gmail.com

Dear Sir,

**RE: INVITATION FOR VETTING BY THE DEPARTMENTAL COMMITTEE ON
FINANCE AND NATIONAL PLANNING**

Following your nomination by H.E. the President for appointment to the position of **Principal Secretary (Planning), The National Treasury and Planning, the Departmental Committee on Finance and National Planning** of the National Assembly hereby invites you for vetting pursuant to section 6(3) of the Public Appointments (Parliamentary Approval) Act, 2011, on **Wednesday 21st February, 2018 in Committee Room 7, Main Parliament Buildings at 9.00 am.**

Kindly fill the attached questionnaire as required by the Public Appointments (Parliamentary Approval) Act, 2011 and return **on or before Monday 19th February, 2018.** You are required to bring with you originals of your National Identity Card, academic and professional certificates and any other documents and testimonials. In addition, you should bring letters/certificates of clearance from the following:-

- i. Ethics and Anti-Corruption Commission.
- ii. Kenya Revenue Authority Tax Compliance Certificate.
- iii. Higher Education Loans Board.
- iv. Directorate of Criminal Investigations.

Yours faithfully,

A handwritten signature in black ink, appearing to read 'M. Sialai'.

MICHAEL R. SIALAI, EBS
CLERK OF THE NATIONAL ASSEMBLY

UNIVERSITY OF NAIROBI



This is to certify that
Julius Mongi Muiya
having satisfied the requirements
for the award of the degree of the

DOCTOR OF PHILOSOPHY (IN BUSINESS ADMINISTRATION)

was admitted to the degree
at a Congregation held at
this University on the

Seventh Day of December in the Year

2012

VICE-CHANCELLOR

DEPUTY VICE-CHANCELLOR (ACADEMIC AFFAIRS)

00033

UNIVERSITY OF NAIROBI



This is to certify that

Julius Monzi Muiia

having satisfied the requirements
for the award of the degree of the

MASTER OF BUSINESS AND ADMINISTRATION

was admitted to the degree
at a Congregation held at
this University on the

SEVENTEENTH DAY OF DECEMBER
1984

A handwritten signature in cursive script, reading "Joseph M. W. Mwangi".

VICE - CHANCELLOR

A handwritten signature in cursive script, reading "J. M. Mwangi".

DEPUTY VICE - CHANCELLOR (ACADEMIC AFFAIRS)

UNIVERSITY OF NAIROBI



This is to certify that
Julius Monzi Muia
having satisfied the requirements
for the award of the degree of the
BACHELOR OF COMMERCE
(ACCOUNTING OPTION)
First Class Honours
was admitted to the degree
at a Congregation held at
this University on the
EIGHTEENTH DAY OF DECEMBER IN THE YEAR
1981

Joseph O. Lumpa

VICE CHANCELLOR

E. M. M. M.

REGISTRAR

THE EAST AFRICAN EXAMINATIONS COUNCIL

This is to certify that the candidate named below sat for the Examination for the East African Advanced Certificate of Education and qualified for the award of an

EAST AFRICAN ADVANCED CERTIFICATE OF EDUCATION

The candidate passed at the level shown (Principal or Subsidiary) in the subject(s) named and attained the Grade(s) as indicated.

JULIUS M. MUYA

K3301 547

KANGARU SCHOOL EMBU

GENERAL PAPER
HISTORY
ECONOMICS
GEOGRAPHY

E.A.A.C.E.E.	GRADE
STANDARD	
SUBSIDIARY	
PRINCIPAL	A
PRINCIPAL	A
PRINCIPAL	B

SUBJECTS RECORDED: FOUR

EXAMINATION OF NOVEMBER/DECEMBER 1977



Secretary
East African Examinations Council



Chairman
East African Examinations Council

(See overleaf)



The Chartered Institute of Arbitrators

Certificate of Membership

This is to certify that

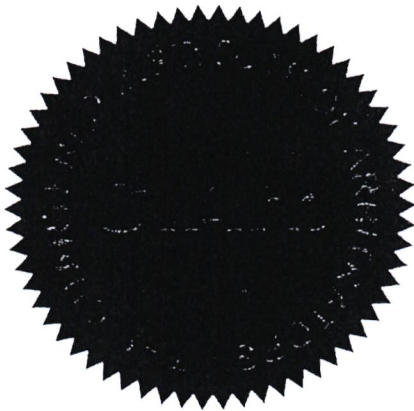
Julius Monzi Muia

was admitted as an Associate of the Institute

on

19 March 2013

Given under seal of The Chartered Institute of Arbitrators



President

Director General

Membership No: 31285

This certificate must be returned to the Institute on cessation of membership

REGISTERED CHARITY No. 803725

Registration
of
Certified Public Secretaries Board



Certificate of Registration

This is to certify that:

Julius Monzi Muia

Registration No. *R/CPSB/187*

is hereby registered as a Certified Public Secretary in accordance with
the provisions of the Certified Public Secretaries Act (Cap. 534).
Given under our hand and the Common Seal of the Board at Nairobi

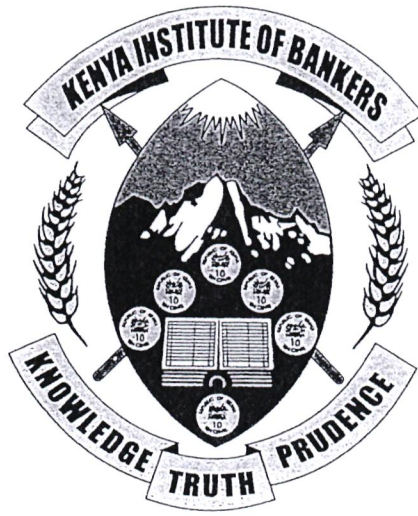
this *8th* day of *May* 20 *13*



[Signature]
Chairman

[Signature]
Member

[Signature]
Registrar



This is to certify that

Julius Monzi Muia

having passed the Associateship Examinations

prescribed by

The Kenya Institute of Bankers,

has been admitted by the Council as an

**ASSOCIATE
OF THE
KENYA INSTITUTE
OF BANKERS
(AKIB)**

SECRETARY

May 2003

Date

CHAIRMAN



REGISTRATION OF ACCOUNTANTS BOARD
KENYA

Certificate of Registration

This is to Certify that

Julius Monzi Muia

is hereby registered as an accountant in accordance with the provisions of the Accountants Act (Cap. 531) under Registration No. *1223*

Given under our hand and the common seal of the Registration Board at Nairobi this *13th.* day of *March, 1985*



[Signature]
Chairman

[Signature]
Member

[Signature]
Registrar

No. 33 of 2011 [Rev. 2012]
Public Appointments (Parliamentary Approval)
[Issue 1] 8

SCHEDULE
[Section 6(8).]

**CRITERIA FOR VETTING/APPROVAL OF NOMINEES FOR
APPOINTMENT TO PUBLIC OFFICE BY PARLIAMENT QUESTIONNAIRE**

Notes:

- a) This questionnaire applies to appointments to public office arising by or under the Constitution or any other law where parliamentary approval is required.
- b) The questionnaire shall be used by the relevant parliamentary committee to vet a nominee appearing before the committee in the process of parliamentary approval.
- c) The questionnaire shall be filled and submitted by the nominee to the relevant parliamentary committee through the Clerk of the relevant House of Parliament on or before a date set by the committee.
- d) The submission of false information in the questionnaire is an offence and may result in prosecution.
- e) Any form of canvassing by a nominee shall lead to disqualification.
- f) The nominee must answer all the questions.

- 1. Name: (State full name) Nelson Marwa Sos Peter
- 2. Position: (State office to which you have been nominated).
PS - Devolution Ministry of Devolution
§ ASA
- 3. Sex: Male
- 4. Date of Birth: (State year and place of birth) 22.02.1960 (Matrua Kuria East)
- 5. Marital Status: Married
- 6. Daytime phone number: 0722-824839
- 7. Mobile phone number: 0722 824839
- 8. Email Address: Marwa Nelson@gmail.com
- 9. ID Number: 3980474

10. PIN Number: A 00 17 49 54 3 G

11. Nationality: Kenyan

12. Postal Address: P.O. Kehancha Kuria

13. Town/City: Migori

14. Knowledge of Languages: (Specify Languages):
- English
- Kiswahili
- Kuma

15. Education: (List, in reverse chronological order, each university, college, or any other institution of higher education attended and indicate, in respect of each, the dates of attendance, academic award obtained, whether a degree was awarded, and the dates on which each such degree was awarded).

(1) Mt Kenya University - (2016)
(Governance & Ethics) Ongoing

(2) Surat University - (India)
MPA - Degree awarded 1990-1992

3. 1986-1989 - BA Economics
Sukhadia University India

Employment Record: (List in reverse chronological order all government agencies, business or professional corporations, companies, firms or other enterprises with which you have been affiliated as an officer, director, partner, proprietor, employee or consultant).

I have been - Provincial
Administration since I
was employed

16. Honours and Awards: (List any scholarships, fellowships, honorary degrees, academic or professional honours, honorary society memberships, military

awards and any other special recognition for outstanding service or achievement and in respect of each, state the date of award and the institution or organization that made the award).

EBS — 12th December 2015

17. Professional Association (where applicable): (List all professional associations of which you are or have a member and give any positions held and the respective dates when each such position was held).

.....
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.....
.....
.....

18. Memberships: (List all professional, business, fraternal, scholarly, civic, charitable or other organizations, (other than those listed in response to Question 16) to which you belong or have belonged).

- life member of Kenya Red Cross Society

19. Published Writings:
(a) List the titles, publishers and dates of books, articles, reports, letters to the editor, editorial pieces or other published materials you have authored or edited.

(b) Supply four (4) copies of any reports, memoranda or policy statements you prepared or contributed in the preparation of any bar association, committee, conference or organization of which you were a member.

20. Public Office, Political Activities and Affiliations:

(a) List chronologically any public offices you have held or are currently holding, including the terms of service and whether such positions were elected or appointed.

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.....

(b) List all memberships and offices held in and services rendered, whether compensated or not, to any political party or election committee. If you have ever held a position or played a role in a political campaign, identify the particulars of the campaign, including the candidate, dates of the campaign, your title and responsibilities. Also include any linkage you have to a political party at present.

.....
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.....

(c) Have you ever been dismissed or otherwise removed from office for a contravention of the provisions of Article 75 of the Constitution?

NO

.....
(d) Have you ever been adversely associated with practices that depict bias, favouritism or nepotism in the discharge of public duties?
.....

NO

.....
21. **Deferred Income/Future Benefits:** (List the sources, amounts and dates of all anticipated receipts from deferred income arrangements, stock, options, uncompleted contracts and other future benefits which you expect to derive from previous business relationships, professional services, firm memberships, etc).
.....

None

.....
22. **Outside commitment during service in office:** (Do you have any plans, commitments or agreements to pursue outside employment with or without compensation during your service in office? If so explain).
.....

No

.....
23. **Sources of Income:** (List sources and amounts of all income received during the calendar year preceding your nomination and in the current calendar year).
.....

Do farming at my Rural Kuria home

24. Tax Status: (State whether you have fully complied with your tax obligations to the State up to the end of the financial year immediately preceding the nomination for appointment).

.....
.....
Yes
.....
.....
.....

25. Statement of Net Worth: (State your financial net worth).

.....
..... (2.5 Million)
.....

26. Potential Conflicts of Interest:

(a) Identify the family members or other persons, parties, categories obligation or financial arrangements that are likely to present potential conflicts-of-interest when you first assume the position to which you have been nominated. Explain how you would address any such conflict if it were to rise.

.....
..... N.O.W.E
.....
.....

(b) Explain how you will resolve any potential conflict of interest, including the procedure you will follow in determining these areas of concern

(1) - try to avoid areas that involve conflict of interest (2) will separate official matters from private affairs

27. Pro-Bono/Charity Work/Donations to charity: (Describe what you have done by way of pro bono or charity work, listing specific instances, the amount contributed and the amount of time devoted to each).

.....
..... (1) - Assisting need orphaned children go to school
.....
..... (2) Assisting - church programmes and projects
.....
..... (3) Community developments & initiating projects for them.

.....
28. Have you ever been charged in a court or law in the last three years? If so, specify the nature of the charge, where the matter is ongoing, the present status of the matter, or where the matter is concluded, the judgment of the court, or otherwise, how the case was concluded.
.....

NO

.....
29. Have you ever been adversely mentioned in an investigatory report of Parliament or any other Commission of inquiry in the last three years?
.....

No

.....
30. Have you any objection to the making of enquiries with your present employer/referees in the course of consideration of your nomination?
.....

No objection

.....
31. References: (List three persons who are not your relatives who are familiar with your character, qualification and work).
.....

① - Hon. Yusuf Haji - Senator GAVISSA
0711 - 269388

② - Governor Francis X. Mwanza
Nyanzaru
0733 - 367333

CURRICULUM VITAE

*Heed Marwa
For comments by Ann
13/2*

PERSONAL DETAILS

NAME: Nelson Marwa Sospeter

NATIONALITY: Kenyan

DATE OF BIRTH: 22nd February, 1960

GENDER: Male

MARITAL STATUS: Married

RELIGION: Christian

PROFESSION: Administrator

CONTACT DETAILS: P. O Box 90424 – 80100
Mombasa
 Telephone (Office): +254 222281 or 0722371400
 Mobile No: 0722 824839
 Email: marwanelson60@gmail.com

CAREER OBJECTIVE

To offer excellent services in challenging environment and globally competitive in the labour market.

EDUCATIONAL BACKGROUND

2016-To date: Pursuing Masters of Arts
 In Governance and Ethics at
 Mt Kenya University

1989-1992: South Gujarat University
 Masters of Public Administration

1986-1989: Mohanlal Sukhadia University
 Bachelor of Arts (Economics/Sociology/Public Administration)

TRAININGS ATTENDED

- April 2017:** Terrorism and Security training for Huduma centres
- November 2010:** Performance Management Training held at Mombasa Beach Hotel Mombasa, Kenya.
- August 2009:** Induction Course for Provincial Commissioners and Deputy Provincial Commissioners held at Neptune Beach Resort, Mombasa, Kenya.
- Oct. – Nov. 2008:** Training programme on Management of Counter Terrorism and Large-Scale-Sudden-Disaster (LSSD) course held at Galilee College – International Centre for National Security Studies (ICNSS) - Israel.
- April – May 2007:** Maritime Port and Harbor Security Management Course held in Virginia, U.S.A.
- February 2005:** Workshop on Drug and Substance Abuse Demand and Supply Reduction in North Eastern Province organized by NACADA held at Normal Palace/Garissa – Garissa, Kenya.
- Nov. – Dec. 2004:** Senior Management Seminar held at Kenya Institute of Administration (KIA) - Nairobi, Kenya.
- September 2003:** Basic Course in Conflict Resolution facilitated by The Centre for Conflict Resolution – Kenya held at Kapsabet, Kenya.
- Jan. – June 2000:** Advanced Public Administration (APA) Course held at Kenya Institute of Administration (KIA) - Nairobi, Kenya.
- November 1996:** Paramilitary Training held at APTC Embakasi – Nairobi, Kenya.
- Feb. – March 1993:** Administrative Officers' Induction Course held at Kenya Institute of Administration (KIA) - Nairobi, Kenya.

WORK EXPERIENCE

- Jan, 2016 – To Date:** **Regional Commissioner**
Duties and Responsibilities
- Chair the Regional Security Committee and ensure implementations of the decision of the committee to enhance peace, security and the rule of law.
 - Supervise and coordinate performance of National Government departments in the region.

- Co-ordinate the performance of National Government functions as outlined by the Fourth Schedule of the Constitution.
- Promote cohesion, integration and patriotism to enhance peace and national unity.
- Coordinate disaster management in the Region where the National Government is required to respond.
- Promote good governance, accountability and participation of the people in the implementation of National Government programmes for sustainable development.
- Ensure prudent utilization and accountability of resources of the National Government
- Ensure regular monitoring and evaluation of projects and programmes of the National Government
- Propagate and ensure implementation of National Government policies and programmes
- Perform the role of official National Government Spokesperson and be liaison officer on matters of intergovernmental relations in the region.
- Submit regular, quarterly and annual reports or as may be required, to the President through the Cabinet Secretary responsible for Co-ordination of National Government with copies to Head of Public Service
- Submit any other report as may be required from time to time by the President.
- Perform liaison services of the National Government
- Undertake official Protocol Business of the National Government at the Regional level
- Chair any other committee established by any legislation or created through delegated power to perform any National Government function.
- Perform any other function that may be assigned by the National Government from time to time.

May, 2012 to Dec 2015:

**County Commissioner
Duties and responsibilities**

- Co-ordination of Security Management
- Co-ordinate National Government functions and delivery of service
- Facilitate conflict management and peace building
- Mobilize National management agencies for National events and programmes

- Collaborate with Kenya Citizens and Foreign Nationals Management Service in the identification of persons for registration
- Promote and enhance National Cohesion and Integration to foster unity of the nation
- Co-ordinate the dissemination and implementation of National Government policies and programmes
- Manage and maintain administrative boundaries, security roads and airstrips
- Provide liaison, collaboration and partnership between the National Government and the County Government
- Co-ordination of disaster management and emergency response
- Facilitate participation of the people in National Government policy formulation and articulation
- Facilitate alternative dispute resolution initiatives

July 2009 – April 2012:

**Position – Senior Area Deputy Provincial Commissioner/
Regional Commissioner
Duties and Responsibilities**

- Formulation and dissemination of policies, programmes and strategic plans
- Co-ordination and mobilization of resources for effective public service delivery
- Monitoring and evaluating implementation of policies, programmes, strategic plans and performance contracts
- Coordinating parliamentary policy matters, official functions and litigation matters
- Facilitating conflict resolution and peace building initiatives

June 2005 – June 2009:

**Position – District Commissioner II
Duties and responsibilities**

- Mobilization of resources for development
- Co-ordination of disaster management and emergency response activities
- Co-ordination and promotion of peace and security
- Promotion and co-ordination of conflict resolution and peace building
- Interpretation, dissemination and overseeing implementation of government policies
- Responding to Parliamentary business
- Coordinating state functions
- Supervising public servants in the District

July 2000 – May 2005:

Position – District Officer I

Duties and responsibilities

- Planning and organizing security initiatives
- Co-ordination and organization of government functions
- Mitigating disaster
- Countering terrorism
- Peace building initiatives and implementation of government projects
- Handle conflict resolution and deal with public complaints and customer care issues

Feb. 1997 – June 2000:

Position – District Officer II

Duties and responsibilities

- Planning and organizing security initiatives
- Co-ordination and organization of government functions
- Mitigating disaster
- Countering terrorism
- Peace building initiatives and implementation of government projects
- Handle conflict resolution and deal with public complaints and customer care issues

May 1995 – Jan. 1997:

Position – District Officer III

Duties and responsibilities

- Supervision of Chiefs, Assistant Chiefs and Administrative Police Personnel
- Co-ordination of staff
- Disseminating government policies, programmes and initiatives through Public Barazas

July 1992 – April 1995:

Position – District Officer Cadet

Duties and responsibilities

- General office administration
- Assets management and inventory
- Planning and maintaining security
- Co-ordination and organization of government functions
- Mitigating disaster
- Countering terrorism
- Peace building initiatives
- Overseeing implementation of government development projects

PROFESSIONAL AFFILIATIONS

- March 2013 – To date:** Member of Kenya Association for Public Administration and Management
- Jan. 2013 – To date:** Member of Kenya Red Cross Society-Life Member
- 2010 – To date:** Member of Baraton university Research Fellows
- 1998 – To date:** Member of Automobile Association of Kenya.

Patron

- Kwibanche Girls' Secondary School
- Matere Secondary School
- Itongo Secondary School
- Ntimaru Secondary Girls Secondary School
- Nyaroha Girls Secondary School
- Taragai Secondary School
- Makararangwe Secondary School.

INTERESTS

- Reading and Researching
- Role modeling in schools
- Community involvement
- Passion for clean environment

REFEREES

1. Francis Thuita Kimemia
Governor Nyandarua County
Mobile No: 0733367333
E-mail: hopsop2@gmail.com
2. Hon. Yusuf Haji
Senator
Wajir County
Mobile No.: 0711269388
3. Pastor Daniel Yeri
P.O Box 89251
Mobile No.: 0724561850

Mombasa

REPUBLIC OF KENYA

Telegraphic Address
'Bunge', Nairobi
Telephone 2848000
Fax: 2243694
E-mail: clerk@parliament.go.ke
When replying please quote



National Assembly
Clerk's Chambers
Parliament Buildings
P. O. Box 41842 -00100
NAIROBI, Kenya

Ref.NA/DC/FNP/2018

14th February, 2018

Mr. Nelson Marwa Sospeter
P.O Box 90424-80100
MOMBASA
Tel. 0722371400
Email: Marwanelson60@gmail.com

Dear Sir,

**RE: INVITATION FOR VETTING BY THE DEPARTMENTAL COMMITTEE ON
FINANCE AND NATIONAL PLANNING**

Following your nomination by H.E. the President for appointment to the position of **Principal Secretary (Devolution), Ministry of Devolution & ASAL**, the **Departmental Committee on Finance and National Planning** of the National Assembly hereby invites you for vetting pursuant to section 6(3) of the Public Appointments (Parliamentary Approval) Act, 2011, on **Wednesday 21st February, 2018 in Committee Room 7, Main Parliament Buildings at 10.30 am.**

Kindly fill the attached questionnaire as required by the Public Appointments (Parliamentary Approval) Act, 2011 and return **on or before Monday 19th February, 2018.** You are required to bring with you originals of your National Identity Card, academic and professional certificates and any other documents and testimonials. In addition, you should bring letters/certificates of clearance from the following:-

- i. Ethics and Anti-Corruption Commission.
- ii. Kenya Revenue Authority Tax Compliance Certificate.
- iii. Higher Education Loans Board.
- iv. Directorate of Criminal Investigations.

Yours faithfully,

A handwritten signature in black ink, appearing to read 'M. Sialai', with a horizontal line underneath.

MICHAEL R. SIALAI, EBS
CLERK OF THE NATIONAL ASSEMBLY

REPUBLIC OF KENYA



MINISTRY OF EDUCATION

Kenya Junior Secondary Examination 1978

This is to Certify that: - NELSON MARWA

RAVEN SEC.

Index No. 7428009

has passed the K.J.S.E. obtaining the gradings shown below:-

ENGLISH	B	BIOLOGY	C
SWAHILI	C+	GEOGRAPHY	C-
MATHEMATICS	D	HISTORY	C
PHYSICAL SCIENCE	C-	RELIGIOUS EDUCATION	B

A=Very Good B=Good C=Satisfactory D=Fail

Signed

Director of Education

This Certificate was issued without any alteration whatsoever
and no duplicate will be issued under any circumstances.

THE KENYA
NATIONAL EXAMINATIONS COUNCIL

This is to certify that the candidate named below sat for the Examination for the
Kenya Certificate of Education and qualified for the award of a

KENYA CERTIFICATE OF EDUCATION

DIVISION 717

THE CANDIDATE REACHED THE GRADE SHOWN IN THE SUBJECTS NAMED

WELSON WADWA SORRETO

K7405/061

STANBIA SECONDARY SCHOOL

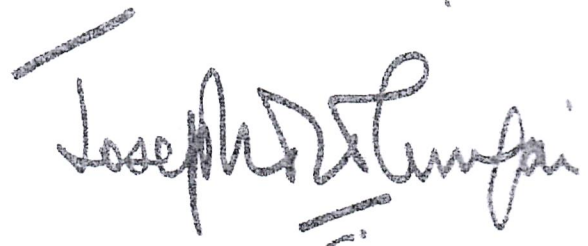
	Grade
ENGLISH LANGUAGE	3
FASHE YA KISWAHILI	7
CHRISTIAN RELIGIOUS EDUCATION	3
HISTORY	6
GEOGRAPHY	6
LUGHA YA KISWAHILI	7
MATHEMATICS XLT. 5	9
BIOLOGY	12

SUBJECTS NAMED EIGHT SUBJECTS PASSED SEVEN

EXAMINATION OF NOVEMBER/DECEMBER 1995



Secretary
Kenya National Examinations Council



Chairman
Kenya National Examinations Council

O 052974

(See overleaf)

THE KENYA NATIONAL EXAMINATIONS COUNCIL



This is to certify that the candidate named below sat for the Examination for the Kenya Advanced Certificate of Education and qualified for the award of a

KENYA

ADVANCED CERTIFICATE OF EDUCATION

The candidate passed at the level shown (Principal or Subsidiary) in the subject(s) named and attained the Grade(s) as indicated.

NELSON MURWA SUSPETER

74/17/51

KAMAGARBO SECONDARY SCHOOL

	<u>Grade</u>
GENERAL PAPER	SUBSIDIARY
HISTORY	SUBSIDIARY
CHRISTIAN REL EDUC	PRINCIPAL
SUBJECTS RECORDED THREE	

EXAMINATION OF NOVEMBER/DECEMBER 1983

Secretary
Kenya National Examinations Council

Chairman
Kenya National Examinations Council

A 053907

(See overleaf)

क्रमांक

31615

Roll No.

मोहनलाल सुखाड़िया विश्वविद्यालय, उदयपुर
MOHANLAL SUKHADIA UNIVERSITY, UDAIPUR

कला-स्नातक
BACHELOR OF ARTS

प्रमाणित किया जाता है कि नेल्सन मरवा सोसपीटर
ने इस विश्वविद्यालय से 1989 की (त्रि-वर्षीय स्नातक) परीक्षा में
कला-स्नातक की उपाधि द्वितीय श्रेणी में प्राप्त की।

उर्हक विषयों के अतिरिक्त इनके वैकल्पिक परीक्षा विषय
अर्थ शास्त्र, समाज शास्त्र तथा लोक-प्रशासन थे।


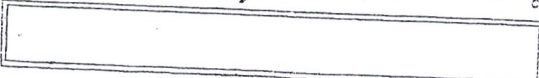
This is to certify that Nelson Marwa Sospeter
obtained the Degree of BACHELOR OF ARTS
(Three Year Degree Course) from this University
in the Examination of 1989 and was placed in
Second division.

In addition to the Qualifying Subjects his optional
subjects at the Examination were Economics,
Sociology and Public Administration



[Signature]

कुलपति
Vice-Chancellor

 the Chancellor, Vice-Chancellor and Members
of the Senate of the South Gujarat University certify
 that the withinsigned

Masura Nelson Gaspeter

having been examined for the Degree
of Master of Public Administration and
having passed the examination in
the First Class, the Degree of

~~Master of~~

Public Administration

has been conferred on him at Surat, on the
twentyeighth day of the month of November in the
year one thousand nine hundred and ninetytwo.

In Testimony whereof are set the Seal of the
said University and the Signature of the said
Chancellor.

Sant Singh

Chancellor

South Gujarat University



Seat No. 3

B No 000171

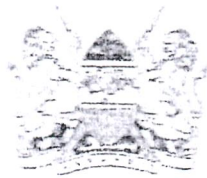
I certify that Marwa Nelson
Gupter
passed the Master of Public Administration Degree
Examination held by the South Gujarat University in
the month of May 1991.
and was placed in the First class.

SURAT.

Dr. 10-9-1991.

[Signature]
University Registrar.

N.B.—No change in any entry is to be made except by the authority issuing the certificate and that the infringement of the rule will be severely dealt with.



OFFICE OF THE PRESIDENT

MINISTRY OF INTERIOR
AND CO-ORDINATION
OF
NATIONAL GOVERNMENT

Telegraphic address: "Rais"
Telephone: 020-2227411
When replying please quote

P.O. Box 30510- 00100
NAIROBI

Ref. No. QP/PA. 40/1A

16th December, 2015

Mr. Sospeter Nelson Marwa, EBS
County Commissioner
Mombasa County
MOMBASA

Dear *Mr. Marwa,*

RE: AWARD OF ORDER OF THE MORAN OF THE GOLDEN HEART (MGH)

I take this opportunity to congratulate you on a well deserved recognition by His Excellency Uhuru Kenyatta, C.G.H President and Commander in Chief of the Defence Forces of the Republic of Kenya. The award of the Elder of the Burning Spear (E.B.S) is a recognition of your distinguished and outstanding services that you continue to render to this nation in various capacities and responsibilities.

I wish to most sincerely congratulate you on this award and urge you to continue with your dedicated service to the motherland.

Once again hearty congratulations.

Yours *Sincerely*

Monica K. Juma

AMB. MONICA K. JUMA, DPhil., CBS
PRINCIPAL SECRETARY/INTERIOR

Pongezi Sana!

Certificate No. *101.93.15*



REPUBLIC OF KENYA

KENYA INSTITUTE OF ADMINISTRATION

This is to certify that

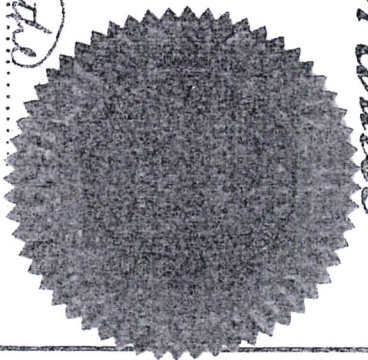
Wilson Manda Sospeter

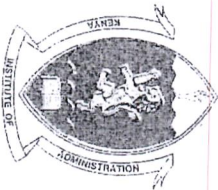
attended *Administrative Officers' Induction Course*
from *8th February ~ 5th March, 1993*


Head of Department

5th March, 1993
Date

Henry J. Kariuki
Principal





CA 1111

KENYA INSTITUTE OF ADMINISTRATION



This is to certify that

.....
Nelson Mwanja Sospeter

successfully completed

Advanced Public Administration (APA) course
No. 51/2000

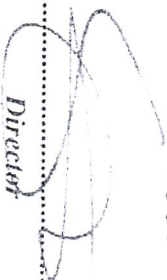
and is hereby granted this

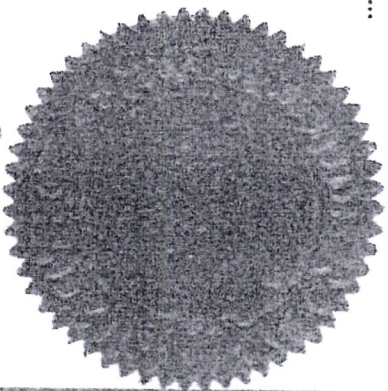
Certificate of Achievement

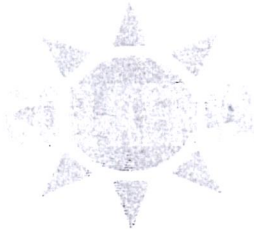
Result: *Credit*

Date: *17th January - 25th June 2000*

.....
Paul Oparaele
Head of Department

.....

Director





Serial No. 5147

The Centre for Conflict Resolution - Kenya

A programme of Foundation for Dialogue

This certifies that

Nelson Marwa

*Attended a basic course in Conflict Resolution
Facilitated by: CCR - Kenya*

*On 18th -19th September, 2003
Kapsabet-Kenya*

Dr. S. G. Kabugi
CHAIRMAN

F. M. Apollos
EXECUTIVE DIRECTOR



מכללת הגליל
GALILEE COLLEGE

International Centre for National Security Studies (ICNSS)

Certificate

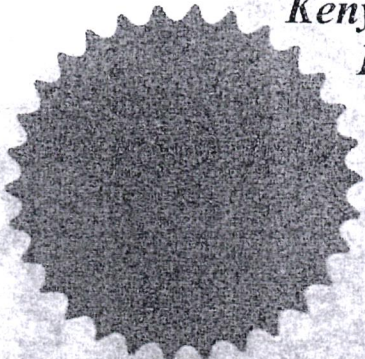
This is to certify that

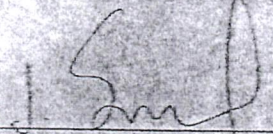
Mr. Nelson Marwa Sospeter

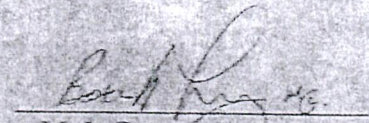
*Has successfully completed the Training Programme on
Management of Counter Terrorism and Large-Scale-Sudden-
Disasters (LSSD)*

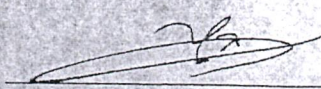
*For the Kenyan Office of the President,
Provincial Administration & Internal Security*

*Kenya: 27 October – 7 November, 2008
Israel: 20 – 27 November, 2008*




Dr. J. Shevel
President


Maj. Gen. (ret.) B. Levy
Chairman, Board of Trustees


Brig. Gen. (ret.) A. Hadari
Head, National Security Programme

Certificate of Attendance



BE AWARE | BE PREPARED | BE INVOLVED | BE SAFE

This is to certify that

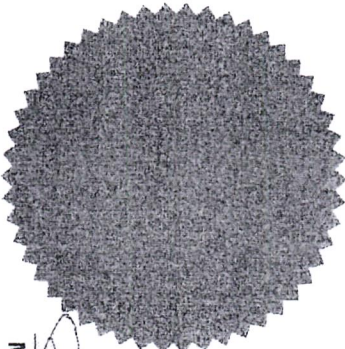
NELSON MARWA

Has attended a counter terrorism and security training for Huduma Centres

covering the topics:

- Threat & Risk Awareness
- Emerging Trends In Terrorism
- Disruptive Behaviour management & Security Profiling
- IT Security Awareness
- Emerging Response & Safety Skills
- Management of Security At Huduma Service Hall Security Procedures

Venue MOMBASA Date: 22nd Day of April, 2017



Partners

Mwenda Mbijwe
CEO Eye on Security

Oscar Bulinda
Managing Director

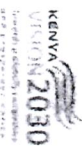
Bernard Makau Muoki
Security Consultant

Training Consultant

Training Consultant



National Transport
and Safety Authority



Eye On Security (K) Ltd is a NITA certified security training firm number: NITA/TRN/964



The National Agency
for the
Campaign Against Drug Abuse
(NACADA)

Certificate of Attendance

This is to certify that

Nelson Marwa Sospeter

Attended District Officers Workshop on drug and substance abuse demand and supply reduction in North Eastern Province organized by NACADA on 25th/26th February, 2005 at Nomad Palace/Garissa Guest House in Garissa.

TOPICS

- Drug and Substance Abuse: The principles of supply and demand reduction.
- The Social Determinants of Abused Systems (Individual and society) with particular reference to the youth.
- Primary and Secondary harm on the individual community and the Nation (Signs and symptoms).
- The physiological and psychological addiction and the way-out.
- Public Mobilization on Drug abuse: Media partnership for Do's.
- The legal regime introduction to Health, Penal and Trade Laws (what the District Officers need to know)
- Localised problems and solutions (Plenary)
- Statement of commitment and closure.

Signed

Joseph Kaguthi
Joseph Kaguthi, EBS
National Coordinator
(Campaign Against Drug Abuse)

Date..... 26-02-2005



OFFICE OF THE PRESIDENT

MINISTRY OF STATE FOR PROVINCIAL ADMINISTRATION
AND INTERNAL SECURITY

INDUCTION COURSE FOR PROVINCIAL COMMISSIONERS
AND SENIOR AREA DEPUTY PROVINCIAL COMMISSIONERS

Certificate of Participation

This is to Certify that

N. S. MARWA

has successfully participated in an Induction Course for Provincial Commissioners
and Senior Area Deputy Provincial Commissioners

held at

Neptune Beach Resort, Mombasa

from **10th August, 2009**

to **15th August, 2009**

and is hereby awarded this Certificate

Course Co-ordinator

Permanent Secretary



REPUBLIC OF KENYA

OFFICE OF THE PRIME MINISTER
PERFORMANCE CONTRACTING DEPARTMENT

Certificate of Participation

This is to certify that:

.....
Nelson Mawa.....

has attended and successfully completed training on:

PERFORMANCE MANAGEMENT

Conducted by the Performance Contracting Department
covering the following topics:

- | | |
|---|--|
| 1. Strategic Planning & Management | 5. Implementation of Vision 2030 |
| 2. Design & Implementation of Performance Contracts | 6. Performance Appraisal System and Work Plans |
| 3. Citizens' Service Delivery Charters | 7. Resolution of Public Complaints |
| 4. Performance Monitoring and Reporting | 8. Evaluation of Performance |

Held at..... Mombasa Beach Hotel

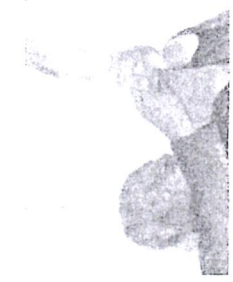
From 1st Nov. to 3rd Nov. 2010

Serial No. 285



Richard E. Ndubai

Richard E. Ndubai, CBS
PERMANENT SECRETARY



OFFICE OF THE PRESIDENT

Telephone: Kwale 4104/5
When replying please quote



THE DISTRICT COMMISSIONER
P. O. Box 1
KWALE

Ref. No. SE/DO/271/321
End-date

8th November, 1966

Mr. Sospeter Marwa
District Officer
SAMBURU DIVISION

Mr. David Opala
District Officer
MATUGA DIVISION

RE: PARAMILITARY COURSE

This is to inform you that you have been selected to attend a Paramilitary Training at APTC Embakasi with effect from 29th November, 1966.

In your absence, your station will be taken care of as follows:-

Mr. Wangusi DO Kinango - to take care of Samburu

Mrs. Kiiva DO II Headquarters - to take care of Matuga

Other details to follow.

(J. K. NG'ENO)
DISTRICT COMMISSIONER
KWALE

CC.

The District Officer
KINANGO DIVISION

The District Officer II
Headquarters
KWALE

JKN/waa

Certificate No. *14665*

CP 14665



KENYA INSTITUTE OF ADMINISTRATION

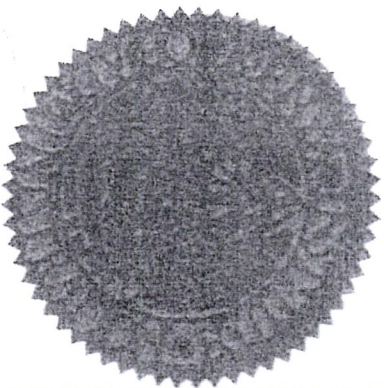
This is to certify that

Nelson Mwida Sospeter

attended *Senior Management Seminar*
(SMS) No. *123/2004*
from *16th Nov ~ 31st Dec. 2004*

and is hereby awarded this

CERTIFICATE



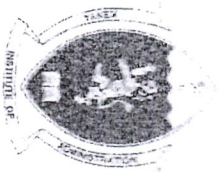
Georgiebas
Head of Department

Date *31st December, 2004*

Director

Certificate No. 14665

CP 14665



KENYA INSTITUTE OF ADMINISTRATION

This is to certify that

Nelson Mawda Sospeter

attended Senior Management Seminar
(SMS) No. 123/2004
from 16th Nov ~ 3rd Dec. 2004

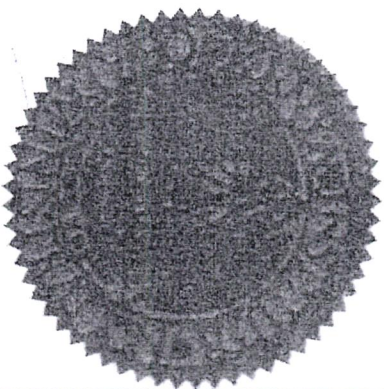
and is hereby awarded this

CERTIFICATE

Georgios Elias
Head of Department

Date 3rd December, 2004

.....
Director



REPUBLIC OF KENYA



**NATIONAL ASSEMBLY
TWELFTH PARLIAMENT – SECOND SESSION**

SUBMISSION OF MEMORANDA

In the Matter of Approval by the National Assembly of
persons nominated for appointment as Principal Secretaries,
Ambassadors/High Commissioners & Members of the Judicial
Service Commission

Pursuant to Articles 155(3), 132(2), 171(2)(g) & 250(2)(b) of the Constitution, H.E. the President has nominated the following ten (10) Principal Secretaries; eight (8) Ambassadors/High Commissioners and three (3) members of the Judicial Service Commission for appointment to their respective positions as indicated below:-

A. PRINCIPAL SECRETARIES		
No.	MINISTRY	NOMINEE
1.	Public Service, Youth & Gender Affairs State Department – Gender	Ms. Safina Kwekwa Tsungu
2.	Devolution and ASAL State Department - Devolution	
3.	Foreign Affairs & International Trade State Department – Foreign Affairs	Mr. Nelson Marwa Sospeter
4.	The National Treasury & Planning State Department - Planning	Amb. Macharia Kamau Dr. Julius Muia
5.	Interior and Coordination of National Government State Department - Immigration, Border Control & Registration of Persons	Maj. Gen. Gordon Kihalangwa
6.	Agriculture and Irrigation State Department- Agricultural Research	Prof. Hamadi Iddi Boga
7.	Transport, Infrastructure, Housing & Urban Development State Department – Housing & Urban development	
8.	Health	Mr. Charles Hinga Mwaura
9.	Education, Vocational & Technical Training (TVET) State Department – Vocational & Technical Training (TVET)	Mr. Peter Tum Kiplagat Mr. Kevit Desai
10.	Water & Sanitation.	Joseph Wairagu Irungu
B. AMBASSADORS/HIGH COMMISSIONERS		
No.	COUNTRY	NOMINEE
1.	France	Prof. Judy Wakhungu
2.	Permanent Mission, UN Geneva	Dr. Cleopa Mailu
3.	Tanzania	Hon. Dan Kazungu
4.	Belgium, Luxemburg; European Union	Mrs. Phyllis Kandie
5.	India	Mr. Willy Bett
6.	Permanent Mission UNESCO, Paris	Prof. Jacob Kaimenyi
7.	Austria	Mr. Hassan Wario Arero
8.	Netherlands	Lawrence Ntoye Lenayapa
C. JUDICIAL SERVICE COMMISSION		
No.	NOMINEE	POSITION
1.	Mr. Patrick Gichohi	Member (JSC Nominee)
2.	Prof. Olive Mugenda	Member
3.	Felix Koskei Kiptarus	Member

Following the receipt of the nominations from H.E the President, the respective Departmental Committees are mandated pursuant to Standing Order 216(5)(f) to vet and consider their suitability for appointment to their respective positions and submit their reports to the House for approval.

Pursuant to Section 6(9) of the Public Appointments (Parliamentary Approval) Act, 2011, the respective Departmental Committees now invites interested members of the public to submit any representations by written statement on oath (affidavit), that they may have on the suitability or otherwise of the said nominees for appointment to their respective positions. The representations may be forwarded to the Clerk of the National Assembly, P.O. Box 41842-00100, Nairobi; hand-delivered to the Office of the Clerk, Main Parliament Buildings, Nairobi; or emailed to clerk@parliament.go.ke; to be received on or before Tuesday 20th February, 2018 at 5.00 p.m.



TWELFTH PARLIAMENT
THE NATIONAL ASSEMBLY

COMMUNICATION TO MEMBERS OF THE NATIONAL ASSEMBLY

RECEIPT OF MESSAGE ON APPOINTMENT OF PRINCIPAL
SECRETARIES AND HIGH COMMISSIONERS/AMBASSADORS

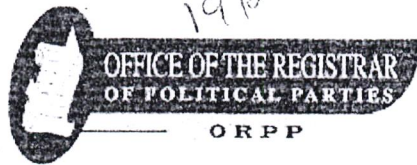
Pursuant to Standing Order 42(2) of the National Assembly Standing Orders, I hereby notify Members of the National Assembly of my receipt, on the 29th day of January 2018 of the attached message dated 29th January 2018 from His Excellency the President and Commander in Chief of the Kenya Defence Forces of the Republic of Kenya Hon. Uhuru Kenyatta with respect to appointment of the persons to the various offices of Cabinet Secretaries, Principal Secretaries and of High Commissioners /Ambassadors of the Republic of Kenya.

HON. JUSTIN B.N MUTURI, EGH, MP
SPEAKER OF THE NATIONAL ASSEMBLY

Tuesday, 30th January 2018

94
② VETTING COMMITTEES
Please note
FA 19/2/18

① D/Committees 19/2/18



Republic of Kenya

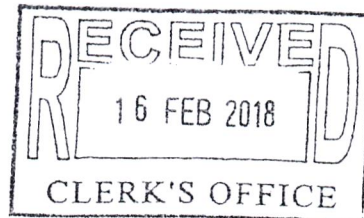
Telephone: +254(0)204022000
Email: registrar@orpp.or.ke
Website: www.orpp.or.ke
When replying please quote

Lion Place, 1st Floor
Off Waiyaki Way
P.O Box 1131-00606
Sarit Centre, Nairobi.

REF NO.: RPP/ORG/34 Vol. II (13)

DATE: 15th February, 2018

Michael R. Sialai, EBS,
Clerk of the National Assembly,
Clerks Chamber,
Parliament Buildings,
P.O. Box 41842-00100,
NAIROBI.



Dear Sir,

RE: VETTING OF PERSONS NOMINATED AS PERMANENT SECRETARIES, AMBASSDORS/HIGH COMMISSIONERS AND JUDICIAL SERVICE COMMISSIONERS BY THE NATIONAL ASSMBLY

Reference is made to your letter Ref.KNA2/4/2018/(47) dated on 14th February, 2018 on the above subject matter.

According to the records held by this office as at 15th February, 2018, the list of the twenty one (21) nominees tabulated herein below are not officials of a registered political party.

No.	Name of Nominee	ID No.
1.	Ms. Safina Kwekwe	11367097
2.	Mr. Nelson Marwa Sospeter	3980474
3.	Amb. Macharia Kamau	28095481
4.	Dr. Julius Muia	4827415
5.	Maj. Gen. (Rtd) Gordon Kihalangwa	0569046
6.	Prof. Hamadi Iddi Boga	8434346
7.	Mr. Charles Hinga Mwaura	13535122
8.	Mr. Peter Tum Kiplagat	3276913
9.	Mr. Kevit Desai	21361733
10.	Mr. Joseph Wairagu Irungu	7197113
11.	Prof. Judy Wakhungu	3962007
12.	Dr. Cleopa Mailu	4829569
13.	Hon. Dan Kazungu	10831431
14.	Mrs. Phyllis Kandie	0334848
15.	Mr. Willy Bett	3448933




Office of the Registrar of Political Parties-ORPP



@ORPPKenya

16.	Prof. Jacob Kaimenyi	0995606
17.	Mr. Hassan Warioarero	10956527
18.	Mr. Lawrence Ntoye Lenayapa	16121196
19.	Mr. Patrick Gichohi	5541762
20.	Prof. Olive Mugenda	4835662
21.	Mr. Felix Koskei Kiptarus	6665340

Yours faithfully,


Lucy K. Ndungu, *EBS*
Registrar of Political Parties



Office of the Registrar of Political Parties-ORPP



@ORPPKenya

D/Chatterjee
20/2/18

NATIONAL POLICE SERVICE

Telegram: NPS KENYA Name:
Telephone: +254 21 289 898
Fax: +254 21 289 898
E-mail: info@nps.ke
Website: www.nps.ke



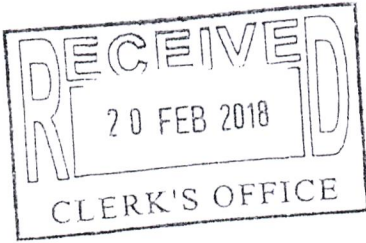
CRIMINAL RECORDS OFFICE
P.O. Box 36000 - 00100 NPS
NAIROBI

DIRECTORATE OF CRIMINAL INVESTIGATIONS

DCI/CRO/SEC/6/7/2/A/VOL.VI/12

19 FEBRUARY, 2018

The Clerk
National Assembly
Clerk's Chambers
Parliament Buildings
P O Box 41842 - 00100
NAIROBI



VETTING
COMMITTEES
pls deaf
FA
20/2/18

Attn: Michael R. Sialai, EBS

SUBJECT: VETTING OF PERSONS NOMINATED AS
AMBASSADORS/HIGH COMMISSIONERS AND JUDICIAL SERVICE
COMMISSIONERS BY THE NATIONAL ASSEMBLY

Your correspondence Ref. No. KNA2/4/2018 (47) dated 14th February, 2018 refers.

We hereby confirm that the below mentioned applied for Police Clearance Certificates on diverse dates and were **genuinely** issued with certificates by this office.

S/NO.	NAME	IDENTITY NO.
1.	Mr. Nelson Marwa Sospeter	3980474
2.	Amb. Macharia Kamau	28095481
3.	Dr. Julius Muiia	4827415
4.	Maj. Gen. (Rtd.) Gordon Kihalangwa	0569046
5.	Prof. Hamadi Iddi Boga	8434346
6.	Mr. Charles Hinga Mwaura	13535122
7.	Mr. Peter Tum Kiplagat	3276913

OUR VISION: To be the best forensic science laboratory with emphasis on continual linkage of the Crime, the Crime -Scene and the Criminal.
FACES CAN LIE, FINGERPRINTS NEVER.

8.	Mr. Joseph Wairagu Irungu	7197113
9.	Pr. Judy Wakhungu	3962007
10.	Dr. Cleopa Mailu	4829569
11.	Hon. Dan Kazungu	10831431
12.	Mrs. Phyllis Kandie	0334848
13.	Mr. Willy Bett	3448933
14.	Prof. Jacob Kaimenyi	0995606
15.	Mr. Hassan Wario Arero	10956527
16.	Mr. Lawrence Ntove Lenayapa	16121196
17.	Mr. Patrick Gichohi	5541762
18.	Prof. Olive Mugenda	4835662
19.	Mr. Felix Koskei Kiptarus	6665340

Please note that this office could not give you details pertaining the status of the below mentioned persons since their applications have not reached us.

S/NO.	NAME	IDENTITY NO.
1.	Ms. Safina Kwekwe	11367097
2.	Mr. Kevit Desai	21361733

Kind regards.



P. NDUNDA
FOR: DIRECTOR OF CRIMINAL INVESTIGATIONS

Copy to: DCI



KENYA REVENUE AUTHORITY

ISO 9001:2008 CERTIFIED

OUR REF: KRA/CDT/HO/54

YOUR REF: KNA2/4/2018/(47)

16th February, 2018

Mr. Michael R. Sialai, EBS
Clerk of the National Assembly
National Assembly, Clerk's Chambers
Parliament Buildings
P.O. Box 41842-00100,
NAIROBI

Dear Sir,

COMPLIANCE STATUS OF NOMINEES TO POSITIONS OF PRINCIPAL SECRETARY, AMBASSADORS/HIGH COMMISSIONERS AND JUDICIAL SERVICE COMMISSIONERS.

We refer to your letters dated 8th February 2018 and 14th February 2018 on the subject matter herein.

The compliance status of the respective nominees is as follows:-

No.	Name	ID Number	PIN	Tax Status
A. Nominees to be appointed as Principal Secretaries				
1	Safina Kwekwe	11367097	A002582244P	Compliant
2	Mr. Nelson Marwa	3980474	A001749543G	Compliant
3	Amb. Macharia Kamau	28095481	A000157209G	Compliant
4	Dr. Julius Muia	4827415	A000230027T	Compliant
5	Maj. Gen. (Rtd.) Gordon Kihalangwa	0569046	A001330135X	Compliant
6	Mr. Hamadi Iddi Boga	8434346	A001383665V	Compliant
7	Mr. Charles Hinga Mwaura	13535122	A011325336K	Compliant
8	Mr. Peter Tum Kiplagat	3276913	A001268954D	Compliant
9	Mr. Kevit Desai	21361733	A001735177F	Compliant
10	Mr. Joseph Wairagu Irungu	7197113	A001261870J	Compliant

Tulipe Ushuru, Tujitegeme!

B. Nominees to be appointed as Ambassadors/High Commissioners				
1	Prof. Judy Wakhungu	3962007	A003623927U	Compliant
2	Dr. Cleopa Mailu	4829569	A000114568K	Compliant
3	Hon. Dan Kazungu	10831431	A002481727K	Compliant
4	Mrs. Phyllis Kandie	0334848	A002309241Z	Compliant
5	Mr. Willy Bett	3448933	A001596445C	Compliant
6	Prof. Jacob Kaimenyi	0995606	A000108709I	Compliant
7	Mr. Hassan Wario Arero	10956527	A005665918C	Compliant
8	Lawrence Ntoye Lenayapa	16121196	A001948313X	Compliant
C. Nominees to be appointed as Judicial Service Commissioners				
1	Mr. Patrick Gichohi	5541762	A000100244O	Compliant (has undertaken to clear outstanding issues)
2	Prof. Olive Mugenda	4835662	A001957347J	Compliant
3	Mr. Felix Koskei Kiptarus	6665340	A001383634M	Compliant

Yours faithfully,



Benson Korongo, OGW
Commissioner of Domestic Taxes

① D/Committees
13/2/18



② VETTING
COMMITTEES
TO
NOTE
KA
14/2/18

ETHICS AND ANTI-CORRUPTION COMMISSION
INTEGRITY CENTRE (Valley Rd./Jakaya Kikwete Rd. Junction) P.O. Box 61130 – 00200, NAIROBI, Kenya
TEL.: 254 (020) 2717318 / 2720722, MOBILE: 0729 888881/2/3
Fax: 254 (020) 2717473 Email: eacc@integrity.go.ke Website: www.eacc.go.ke

When replying please quote:

Our Ref: EACC.7/10/5 VOL.V (102)

13th February, 2018

Michael R. Sialai, EBS
Clerk of the National Assembly
National Assembly
Parliament Building
P. O. Box 41842-00100
NAIROBI



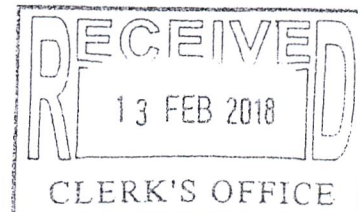
RE: VETTING OF NOMINEES TO POSITIONS OF PRINCIPAL SECRETARY BY THE NATIONAL ASSEMBLY

Your letter dated 6th February, 2018 on the above subject matter, refers.

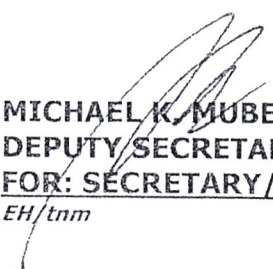
We wish to confirm that there are no outstanding issues or ongoing investigations against the following nominees:-

S/No	Name	Ministry	State Department
1.	Safina Kwekwe	Public Service, Youth and Gender Affairs	Gender
2.	Mr. Nelson Marwa	Devolution and ASAL	Devolution
3.	Amb. Macharia Kamau	Foreign Affairs and International Trade	Foreign Affairs
4.	Dr. Julius Muia	The National Treasury and Planning	Planning
5.	Maj. Gen (Rtd) Gordon Kihlangwa	Interior and Coordination of National Government	Immigration, Border Control & Registration of Persons
6.	Mr. Hamadi Boga	Agriculture and Irrigation	Agricultural Research
7.	Mr. Peter Tum	Health	Health

The information provided is based on records available as at 13th February, 2018.



Please note that the absence of records in the Commission's database is not a guarantee of absolute integrity of the nominees.

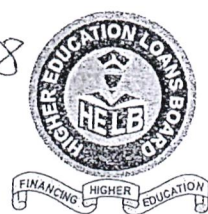


MICHAEL K. MUBEA, EBS
DEPUTY SECRETARY/CEO - TECHNICAL SERVICES
FOR: SECRETARY/CHIEF EXECUTIVE OFFICER

EH/tnm

① D/Cutteras
20/2/18

② VETTING
COMMITTEES
pls deaf
FA
20/2/18



HIGHER EDUCATION LOANS BOARD

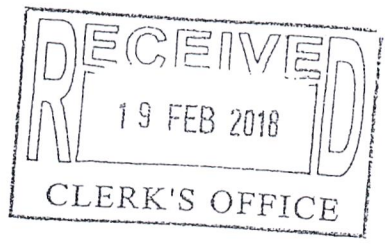
Tel: 0711052000, 2278000, 0722205292, 0733205292,
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E-mail: ceo@helb.co.ke
Website: www.helb.co.ke

Anniversary Towers
18th Floor, University Way
P.O. Box 69489-00400
Nairobi, Kenya

HELB/RR/112009/V/64

16th February, 2018

Michael R. Sialai, EBS
Clerk
The National Assembly
P.O Box 41842-00100
NAIROBI.



Dear *Michael,*

VETTING OF NOMINEES TO POSITIONS OF PRINCIPAL SECRETARY BY THE NATIONAL ASSEMBLY

We acknowledge with thanks the receipt of your letter dated 14th February 2018 regarding the vetting of the nominees to positions of principal secretary by the committee on appointments.

Please find a list confirming the loan status of the nominees with the Board.

S/NO	NAME	ID NO.	STATUS	YEAR OF CLEARANCE
1.	Safina Kwekwe	11367097	Cleared Certificate No. 08290	29 th August, 2017
2.	Mr. Nelson Marwa	3980474	Not a Beneficiary	N/A
3.	Amb. Macharia Kamau	28095481	Not a Beneficiary	N/A
4.	Dr. Julius Muia	4827415	Cleared Certificate No. 45395	2 nd September, 2016
5.	Maj. Gen. (Rtd.) Gordon Kihalangwa	0569046	Not a Beneficiary	N/A
6.	Prof. Hamadi Iddi Boga	8434346	Cleared Certificate No. 38552	9 th February, 2016
7.	Mr. Peter Tum	3276913	Not a Beneficiary	N/A
8.	Mr. Charles Hinga Mwaura	13535122	Cleared Certificate No. 07778	2 nd August, 2017
9.	Mr. Kevit Desai	21361733	Not a Beneficiary	N/A
10.	Mr. Joseph Wairagu Irungu	7197113	Cleared Certificate No. 19248	20 th September, 2007

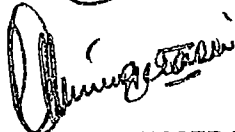


We urge you to encourage applicants to seek their compliance certificate from the Board as part of testimonials and conformity to Chapter six of the constitution of Kenya.

We wish to thank you most sincerely for allowing us to provide you this information as this kind of collaboration goes a long way in assisting HELB finance needy Kenyans pursuing higher education now and in the future.

Yours

Sincerely



CHARLES M. RINGERA

CHIEF EXECUTIVE OFFICER & BOARD SECRETARY



THIRDWAY HEADQUARTERS
 Chaka Place, Suite No. 2, Chaka Road
 P.O. Box 4781-00100 Nairobi
 Email: leader@thirdwayalliance.org
 Website: www.thirdwayalliance.org
 Tel: +254 20 3860296

① Noted. CNA to deal with.
 SNA
 9/2/18
 6th January 2017

② D/Amittu
 per sent. CNA

12/2/18

MEMORANDUM OF THE *THIRDWAY ALLIANCE KENYA* TO THE VETTING COMMITTEE OF THE NATIONAL ASSEMBLY ON THE APPOINTMENT OF NATIONAL EXECUTIVE (CS, CAS, PS & AMBASSADORS) OUR PERSPECTIVE AND WHAT NATIONAL ASSEMBLY SHOULD CONSIDER BEFORE RECOMMENDING THEM FOR APPOINTMENT.

PREAMBLE

③

First, *Thirdway Alliance Kenya* (hereinafter "*Thirdway*"), a political party duly registered under our laws and which participated in the 2017 General Elections, welcomes the decision of President Uhuru Kenyatta to propose a Cabinet after such a long wait.

Secondly, we appreciate that Parliament is set to begin this long-awaited cabinet. Therefore, and pursuant to *Section 6(9)* of the *Public Appointments (Parliamentary Approval) Act 2011* and further to the provisions of *Article 119* of our 2010 Constitution, *Thirdway* shares below our party's perspective on the proposed nominees for Cabinet Secretaries (CSs), Permanent Secretaries (PSs), Ambassadors, and a newly created post of Chief Administrative Secretary (CAS). Even as we share our views herein, we, however, note that the Cabinet remains incomplete. We hope that Parliament would urge President Uhuru to finalize on his nominees. Any further delay, continues to create anxiety and delayed service delivery to Kenyans.

As Parliament now sits to vet these names, we provide our perspective as follows:

1. Role of the National Assembly

- a. We see the role of the National Assembly, as not just being about vetting the nominees, but also pointing the Executive to the right direction in as far as some national concerns are. We have documented those concerns in this memorandum. To this extent, parliament would be playing its oversight role over the Executive. We urge you not to look at party politics; rather what is in the best interest of Kenyans; it is the responsibility of the National Assembly to guide the president on the best public servants to serve Kenyans.

The need to observe chapter 6 of our Constitution & justification for appointments.

- a. Ch.6 of our Constitution speaks to "responsibilities of leadership", and that in selecting such State Officers, regard shall be had to their "competence and suitability", and in the particular instance, to perform a function as a CS, PS, and Ambassadors, amongst others.
- b. *On suitability & competence.* To use two examples in order to illustrate this issue, we do not see a clear justification for the transfer of a CS like Amb. Amina Mohammed to the Education Ministry. Her long, painstaking experience as CS Foreign Affairs will be a great loss to Kenya in terms of experience, skill and

③ COMMITTEE CLERKS
 Pls bring to the attention of your respective committees

FA
 14/2/18

RECEIVED
 12 FEB 2018
 CLERK'S OFFICE

NATIONAL ASSEMBLY
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 SECRETARY'S OFFICE
 P.O. BOX 11842, NAIROBI

resources. This is because she will have to learn how to manage a new Education docket. Assuming, the transfer was occasioned by her non-performance at the Foreign Affairs docket, why transfer her to the very crucial Education ministry where she has had no experience at all? Similarly, there is no clear justification for the appointment of Dr. Mutang'ari as CS for Interior. This is because, he has arguably done an exemplary job as CS Education. At the same time, he has also received wide criticism both locally and internationally for his alleged contribution to the violation of human rights, including recent failure to obey court orders, and shutdown of media houses without compliance with *Article 24* of our Constitution.

- c. *Underperformance:* We urge your Committee to consider the performance, as well as underperformance by some CSs, PSs, who are now either transferred to a new docket or proposed for Ambassadorial appointment. You may want to interrogate the performance track record of each nominee. If on a balance of probability, a CS/PS never performed to expectation, you should reject such a name (*see also issue of integrity & corruption below*). We believe there are many Kenyans with skill, expertise, competence and who are suitable to serve in our public service.
- d. *On qualifications:* It is not clear what justification was used by the President to propose some nominees into Cabinet. Our proposal is that each of the nominees should produce before you, at least, a certified degree certificate from a recognized university. Kenya has so many learned and qualified individuals. We cannot appoint people into our public service, especially at such level as CS, PS and Ambassadors with questionable education credentials.
- e. *Integrity & corruption:* Our reading of the *Auditor General Reports* worryingly indicates that over 20 government departments could not account for public funds; neither could they demonstrate value for money. As you vet some of the returnee CSs and PSs, as well as those nominated for Ambassadorial appointments, it would be prudent to consider the findings of the *Auditor General* in the Ministries/departments they held prior to their now nomination for yet another appointment. For instance, the *Auditor General Reports* for the years 2013/14 and 2014/15 show that various ministries incurred unsupported Expenditure of KES 66,782,697,987 and KES 7,321,277,260 respectively. Additionally, various ministries incurred expenditure amounting to KES 14,435,690,489 whose value for money could not be established. We insist that accounting of public money is paramount, and any nominee whose previous ministry or department could not account for funds must be rejected with recommendation that such individuals be investigated and prosecuted; and money to be recovered.

3. The Gender Rule.

- a. We have observed that President Uhuru Kenyatta overlooked the obligation in our Constitution to ensure the gender rule (1/3 or 2/3) in all key government appointments is met. So far, only 6 women have been appointed to CS positions out of the available 22 slots as well as Ambassadorial positions. This is below the threshold minimum of 30% required in our Constitution. This vetting Committee would agree that continued relegation of women in public appointments fuels inequality and disunity with a majority of Kenyan population who are women and

youth. A progressive government would ensure that it has equal representation of capable women and men for a more harmonious and balanced leadership. Continual denial of the rights of women is no longer tolerable. For the sake of Wanjiku and Atieno, *Thirdway* proposes that there be inclusion of many more capable women into the Cabinet and parliament using all resources under the government's power and control.

4. The Youth Factor.

- a. The obvious and rather glaring omission of the youth, 35 years old and below is unfortunate and unacceptable. It must be remembered that our population is more than 70% youth. Young people are excelling in various fields such as IT, communication, Sports, trade and others placing Kenya on the map in many areas including scientific inventions. The Jubilee government must create space for more young and brilliant minds to manage high level government portfolios with introduction of electronic and modern technology to improve public participation.

5. Special Interest Groups (SIG).

- a. It is our observation that SIGs (persons living with disabilities, extreme minorities, etc.) have been left out of the list of nominees. The obvious omission of people living with disability is a key area of concern as this group of people deserve to head one or two cabinet positions. We know that disability is not inability and many people are actually victims, for example, of health and transportation failures. Therefore, it would benefit this country to appoint them into cabinet and other sectors where they can contribute lasting solutions. Their continued under-representation is a culture we can no longer be proud of, as a country.

6. Observance of Art. 130 of the Constitution.

- a. The Cabinet, being the National Executive of our Republic, is required to meet the provisions of our Constitution in *Article 130* that "the composition of the national executive shall reflect the regional and ethnic diversity of the people of Kenya".
- b. The diversity and inclusivity clause in our Constitution has not been overlooked. At first glance, and without necessarily going into detail, it is clear that the list is ethnically imbalanced and overly generous to members of one or two communities whilst completely omitting and ignoring others. With the current political temperatures and divisive politics that is polarizing communities in our country (principally because of exclusion from government), it only good for our Country to ensure ethnic balancing is achieved in these appointments. We do not expect the government to increase the number of positions to fulfil this requirement; however, an effort must be evident to ensure inclusivity and representativeness of all groups of Kenyans as far as possible.
- c. *Thirdway formula on ethnic and regional balancing:* We propose that the President nominates his first 22 nominees for CS positions from 22 different ethnic groups of Kenya; then his second 22 nominees for PS positions from another 22 different ethnic groups of Kenya. That way, the President would have fulfilled both the ethnic and regional diversity of the people of Kenya. His Cabinet would be the true face of Kenya. We believe this would help President Kenyatta build a better legacy and good precedent for future presidents.

7. "Recycling" of certain names in public service

- a. "Recycling" or "rewinding" of personalities makes for a retrogressive society devoid of new ideas, ways of approaching important issues and problem-solving. We must make space for new faces and personalities who should be carefully selected based on their professional experience and less on their political influence, which is a role best left to MPs to deliver. If someone is today not deemed to have done a better job at Cabinet level, why transfer them to an ambassadorial position?

8. Incomplete Cabinet list.

- a. We observe that the Cabinet remains incomplete. Our view is that this opens up space for unnecessary speculation and negative competition, which following a five-month long wait is clearly unhealthy for the country. All positions should be announced simultaneously and in good time, so as to minimize opportunities for canvassing and irregular appointments due to the need to pay political debts.

9. Creation of Chief Administrative Secretary ignored need for transparency & a public consultation process.

- a. *Funding and void CAS is to fill:* We have asked ourselves these questions: which constitutional bodies gave their approval and advised the president to create the CAS category and for what specific reason? How does government plan to fund this role? Which void does the post of CAS fill in the current public service?
- b. *Non-compliance with Procedure & consultation under Article 132:* While we appreciate that it is the right of the President in *Article 132* to create a new position in the public service, we also believe that the right and constitutional procedure in creating this new position was overlooked. This makes the position of CAS unconstitutional. The creation of the CAS post ought to have been preceded by a recommendation from the Public Service Commission (PSC). It is only then that the President can create a position in the public service.
- c. *Public participation:* In addition to the foregoing, it also means that the principle of public participation was overlooked. The Kenyan general public ought to have been given the chance to give views whether this post is necessary under the circumstances, or not.
- d. *Increased wage bill:* Kenyans are currently unhappy with the wage bill in the public sector including in devolved counties. We believe that the position of CAS is already going to come with another wage bill at a time when we are concerned about cost cutting.
- e. *Replication of & conflict over duties:* In fact, we believe that the CAS position would replicate the Assistant Minister position that was scrapped in previous regimes. In fact, the post is already introducing an additional bureaucracy through yet another middle level tier of management. We also see this post as a recipe for conflict between the CS and CAs on the one hand, and CAs and PS on the other. It is worth noting that efficient companies and governments around the world are currently obliterating the "middle-management" role due to high costs and

unnecessary bureaucracy, which affects service delivery. Our position is that it is an unnecessary addition to an already bloated government. Kenyans cannot sustainably carry this additional expense with our already highly indebted country. We are an already overburdened citizenry. The CAS positions must, therefore, be rejected with no additional names presented in this category until it is properly justified and resources identified to sustain it. Retaining this post will actually prompt high taxes on an already heavily taxed Kenyan worker.

10. Need for a Lean Government.

- a. Our party holds the view that a lean government in all its levels, is economically desirable for Kenya including, but not limited, to our current over representation in Parliament. A large government is a huge wage bill to the taxpayer. This huge wage bill robs Kenyans of development money (e.g. to ensure adequate water, healthcare, food, security, roads, education, electricity, etc.). Kenyans have expressed support for this view and we will continue to push for consultations and conversations with the Jubilee administration, as well as with Parliament at all levels, on how best to reduce the burden of government on Kenyans. Rather, government must work to create opportunities and support efforts of citizens while conserving funds for essential needs and releasing funds for development and for service provision to the Kenyan people guaranteeing our future prosperity and growth.

Conclusion: Lastly, we thank the Vetting Committee for the opportunity to share our party's views on this important national exercise. We remain optimistic that Parliament will take this views into consideration. We also take this early chance to urge parliament to steer the country towards constructive political conversation. Parliament may want to consider our proposed National Conversation with a 14-point agenda.

FOR: THIRDWAY ALLIANCE KENYA



Ekuru Aukot.
Party Leader



CPA Miruru Waweru
National Party Chairman



Frederick T. Okango
Secretary General

Tusipojipanga, Tutapangwa!